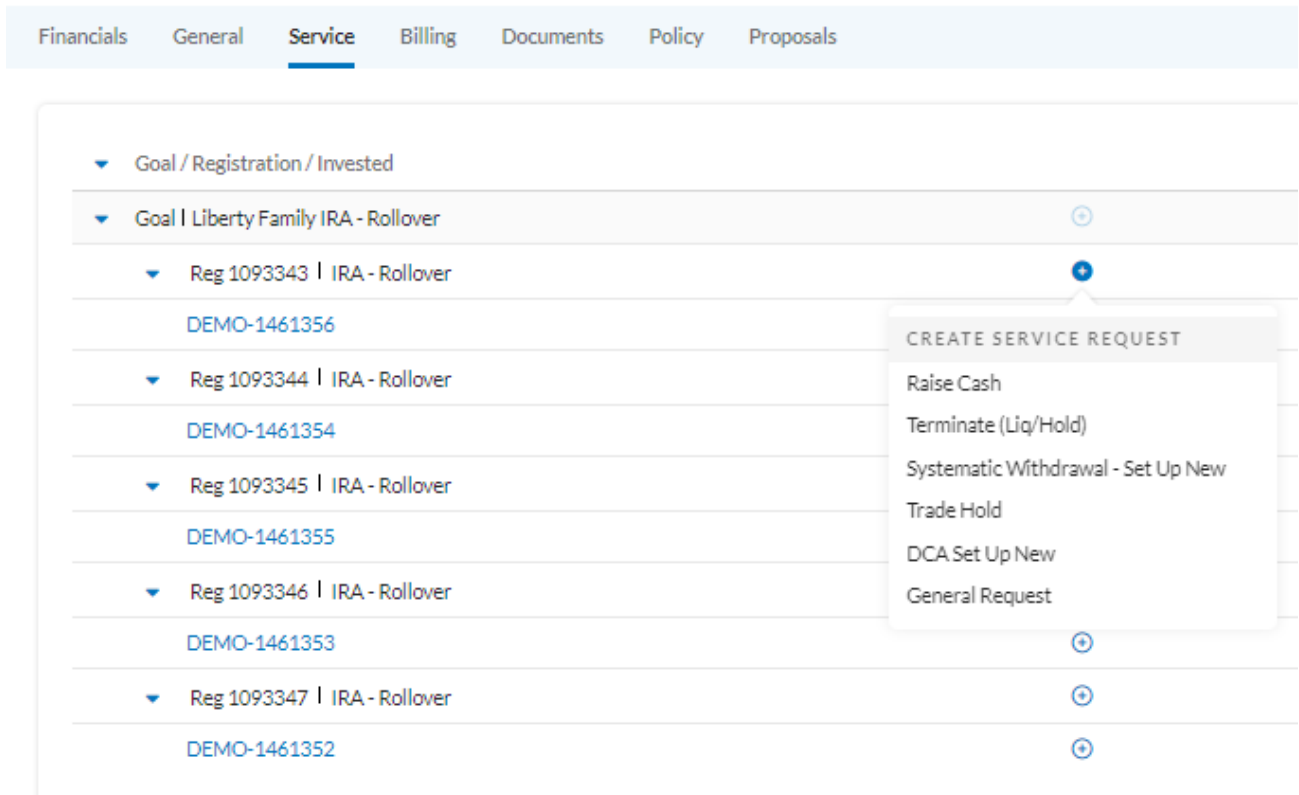


UMA SMA FSP Systematic Withdrawal

1. Search for the account via quick search or Client Tab
2. Navigate to the **Service Tab**
 - a. Click the “+” icon next to the registration from which you are raising cash
 - b. Select **Systematic Withdrawal – Set Up New**

Liberty Family



The screenshot shows the 'Service' tab in the Liberty Family interface. At the top, there are navigation tabs: Financials, General, **Service**, Billing, Documents, Policy, and Proposals. Below the tabs is a list of IRA rollovers. Each row includes a dropdown arrow, a goal name, a registration number, and a DEMO ID. A plus sign icon is visible next to the first registration (Reg 1093343). A dropdown menu is open, titled 'CREATE SERVICE REQUEST', with the following options: Raise Cash, Terminate (Liq/Hold), Systematic Withdrawal - Set Up New, Trade Hold, DCA Set Up New, and General Request.

Goal / Registration / Invested	Actions
Goal Liberty Family IRA - Rollover	+
▼ Reg 1093343 IRA - Rollover DEMO-1461356	+
▼ Reg 1093344 IRA - Rollover DEMO-1461354	
▼ Reg 1093345 IRA - Rollover DEMO-1461355	
▼ Reg 1093346 IRA - Rollover DEMO-1461353	+
▼ Reg 1093347 IRA - Rollover DEMO-1461352	+

UMA SMA FSP Systematic Withdrawal

3. **Properties:** Enter the SW Type, Frequency, First Distribution Date, End Date, Amount, and Trading Method.
 - a. Systematic Withdrawal Tips:
 - i. If the account already has enough cash to cover the distribution, the system will not place the trades.
 - ii. For annual distributions, go ahead and set up the SW, then do a Modify Systematic Withdrawal once you know the upcoming years RMD amount.
 - iii. First Distribution Date – Choose the exact date that is set up at the custodian level.
 - iv. Click **Save + Next**

Systematic Withdrawal - Set Up New | SR# 2888524
 Liberty Family - Reg 1093343 - IRA - Rollover

Properties

Review & Submit

2888524

Owner

Liberty Sample

SW Type

Fixed Amount Distribution ▼

Frequency

Monthly ▼

Distribution Options

▼

First Distribution Date ?

5/15/2024 📅

End Date ?

MM/DD/YYYY 📅

Withdrawal Method ?

ACH ▼

Trading Method ?

Trade across registration ▼

Amount

\$4,000

Cancel

Save

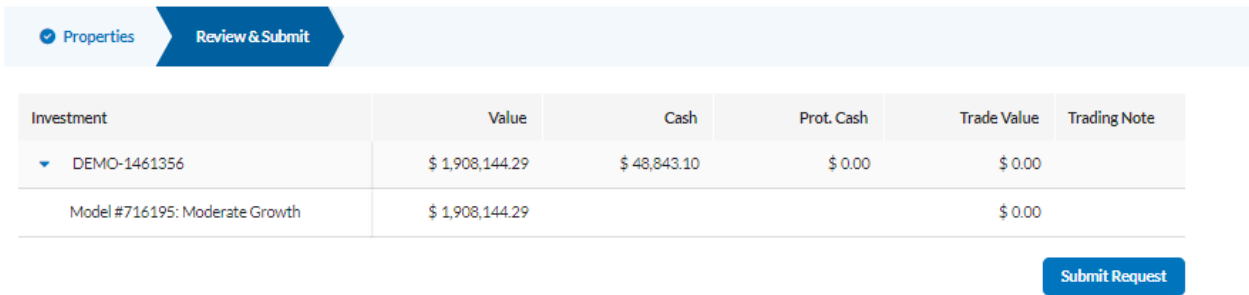
Save + Next

UMA SMA FSP Systematic Withdrawal

4. Trading Method:

- a. Trade Across Registration (recommended) – Based on the registration’s goal (target allocation), the system will raise cash to bring accounts closer to target
- b. Click **Submit Request**

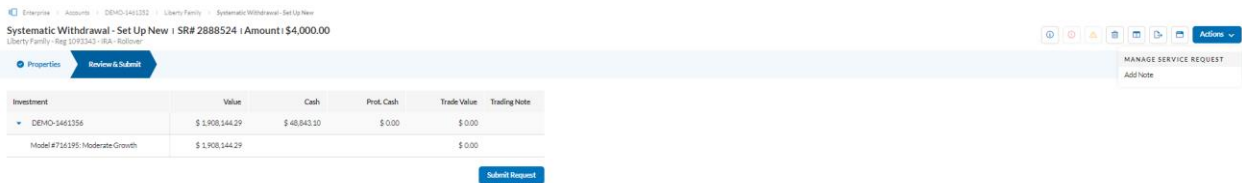
Systematic Withdrawal - Set Up New | SR# 2888524 | Amount | \$4,000.00
 Liberty Family - Reg 1093343 - IRA - Rollover



Investment	Value	Cash	Prot. Cash	Trade Value	Trading Note
DEMO-1461356	\$ 1,908,144.29	\$ 48,843.10	\$ 0.00	\$ 0.00	
Model #716195: Moderate Growth	\$ 1,908,144.29			\$ 0.00	

[Submit Request](#)

- c. Trade Exact Amounts per Accounts – Based on the registration’s goal (target allocation), the system will suggest trades. You may override the suggested amounts if there are multiple investments. Enter the specific dollar amounts to be invested in each product by filling in the Trade Value boxes via the **Edit** option. If you do not have discretion to update the trade values, then continue below.
- d. Add a Note (if necessary) to the Service Request via **Manage Service Request** dropdown
- e. Click **Continue**, then **Submit Request**



Investment	Value	Cash	Prot. Cash	Trade Value	Trading Note
DEMO-1461356	\$ 1,908,144.29	\$ 48,843.10	\$ 0.00	\$ 0.00	
Model #716195: Moderate Growth	\$ 1,908,144.29			\$ 0.00	

[MANAGE SERVICE REQUEST](#)
Add Note

[Submit Request](#)