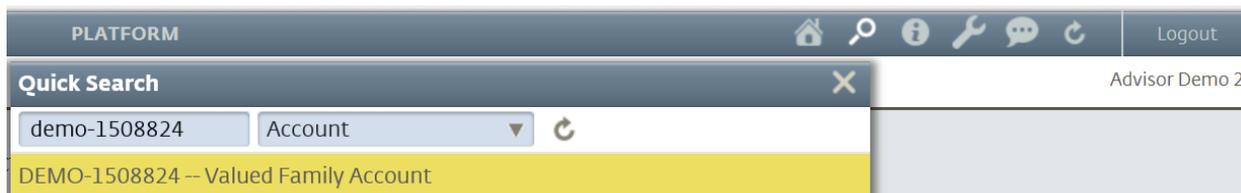


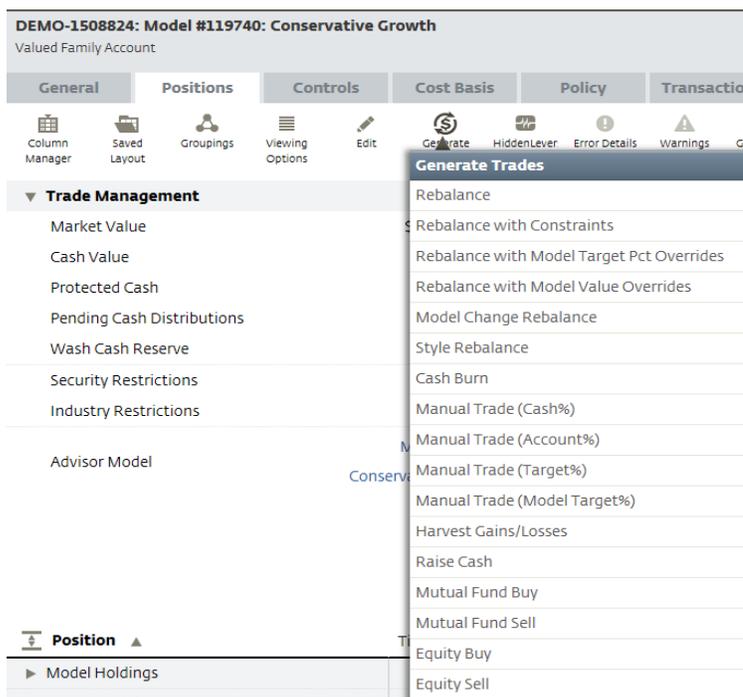
SINGLE ACCOUNT TRADING – Equities & ETF’s

1. Search for the account via quick search or Client Tab



2. Generate Trades: Navigate to the **Positions** Tab

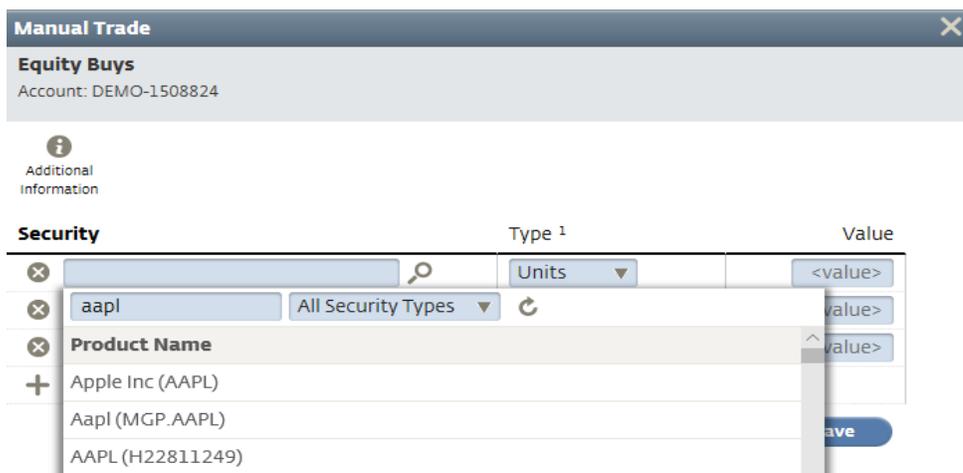
- Select the icon **“Generate Trades”**
- Select **Equity Buy** or **Equity Sell**



SINGLE ACCOUNT TRADING – Equities & ETF’s

3. A) Manual Trade: **Equity Buy**

- Enter the individual equity and/or ETF Ticker by clicking on “Magnifying glass”
 - You can enter multiple tickers at the same time. This order can contain individual equities and ETF’s.
- Select Units
- Enter the Value, Click **Save**

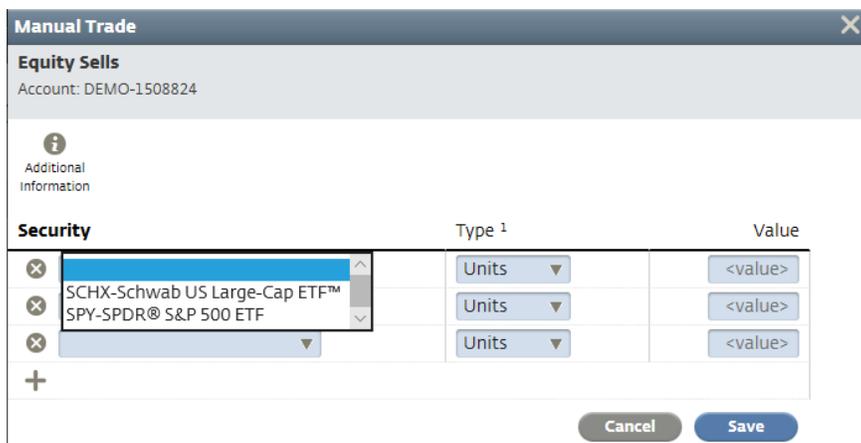


The screenshot shows the 'Manual Trade' window for 'Equity Buys' on account DEMO-1508824. It features a table with columns for Security, Type 1, and Value. A search dropdown is open for 'aapl', showing options like 'Apple Inc (AAPL)', 'Aapl (MGP.AAPL)', and 'AAPL (H22811249)'. A 'Save' button is visible at the bottom right.

| Security | Type 1 | Value |
|----------------------|--------------------|---------|
| <input type="text"/> | Units | <value> |
| aapl | All Security Types | <value> |
| Product Name | | <value> |
| Apple Inc (AAPL) | | |
| Aapl (MGP.AAPL) | | |
| AAPL (H22811249) | | |

B) Manual Trade: **Equity Sell**

- Select the ETF or individual equity from the dropdown
 - All of the equities and ETF’s available to sell in the account will populate
 - You can sell multiple tickers in one order
- Enter the Units, or Percentage
- Enter the Value, Click **Save**



The screenshot shows the 'Manual Trade' window for 'Equity Sells' on account DEMO-1508824. It features a table with columns for Security, Type 1, and Value. A dropdown menu is open for the Security column, showing 'SCHX-Schwab US Large-Cap ETF™' and 'SPY-SPDR® S&P 500 ETF'. 'Cancel' and 'Save' buttons are at the bottom.

| Security | Type 1 | Value |
|-------------------------------|--------|---------|
| <input type="text"/> | Units | <value> |
| SCHX-Schwab US Large-Cap ETF™ | Units | <value> |
| SPY-SPDR® S&P 500 ETF | Units | <value> |
| <input type="text"/> | Units | <value> |

SINGLE ACCOUNT TRADING – Equities & ETF’s

4. Trade Generation:

- Review Proposed Trades at the bottom
 - The Account Risk will change appropriately, based on the proposed trades
- Select **Manage Trades**, then click **Submit Trades**

DEMO-1508824: Model #119740: Conservative Growth
Valued Family Account

General | Positions | Controls | Cost Basis | Policy | Transactions

Column Manager | Saved Layout | Groupings | Viewing Options | Edit | Generate Trades | Hidden Level | Error Details | Warnings | Generate A PDF File | Generate A CSV File | Manage Trades

Trade Actions

Submit Trades

Waive Fees

Reset Waive Fees

Cancel Trades

Trade Management

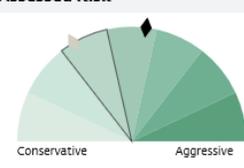
| | |
|----------------------------|---------------|
| Market Value | \$ 268,338.35 |
| Cash Value | 2,950.87 |
| Protected Cash | \$ 0.00 |
| Pending Cash Distributions | \$ 0.00 |
| Wash Cash Reserve | \$ 0.00 |
| Security Restrictions | 0 |
| Industry Restrictions | 0 |

Advisor Model: **Model #119740: Conservative Growth**

Gains and Losses

| | |
|--------------------|-----|
| Realized net gains | \$ |
| Unrealized gains | 3,6 |
| Unrealized losses | -5 |

Assessed Risk



Account Risk: Moderate (54)
Model Risk: Conservative Growth (33)
Target Risk: Conservative Growth (30 - 43)

Proposed Trades

| | Description | Units | Total | Lot Reference | ST G/L | LT G/L | Projected | Target |
|--------------|-------------|-------|-------------|---------------|---------|-------------|-----------|--------|
| DEMO-1508824 | | | | | \$ 0.00 | \$ 1,303.20 | | |
| Sells | | | | | | | | |
| | SPY | 20 | \$ 5,456.20 | | \$ 0.00 | \$ 1,303.20 | 3.52 % | 0.00 % |
| Cash | | | | | | | | |
| | Cash | | \$ 5,456.20 | | | | 3.1 % | 30.0 % |



SINGLE ACCOUNT TRADING – Equities & ETF's