



Reports

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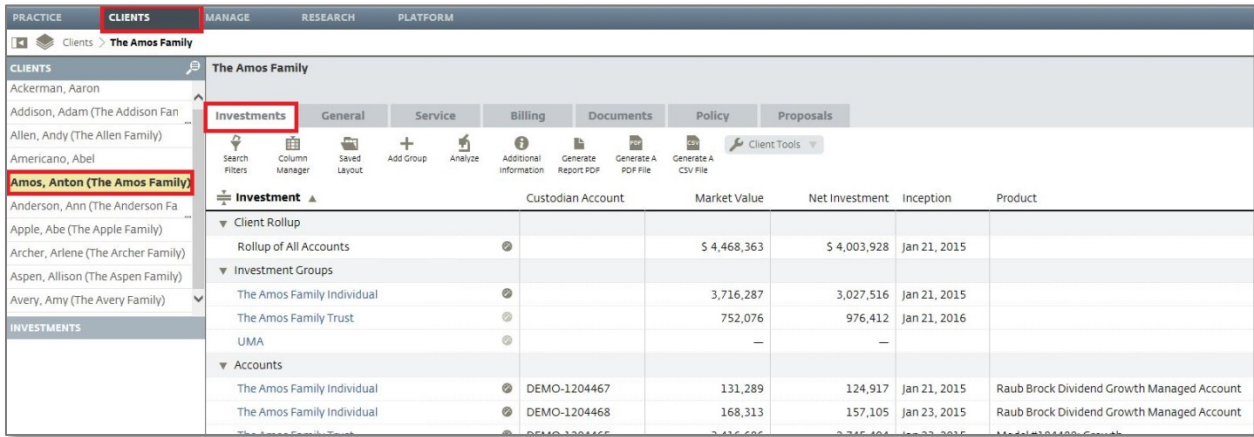
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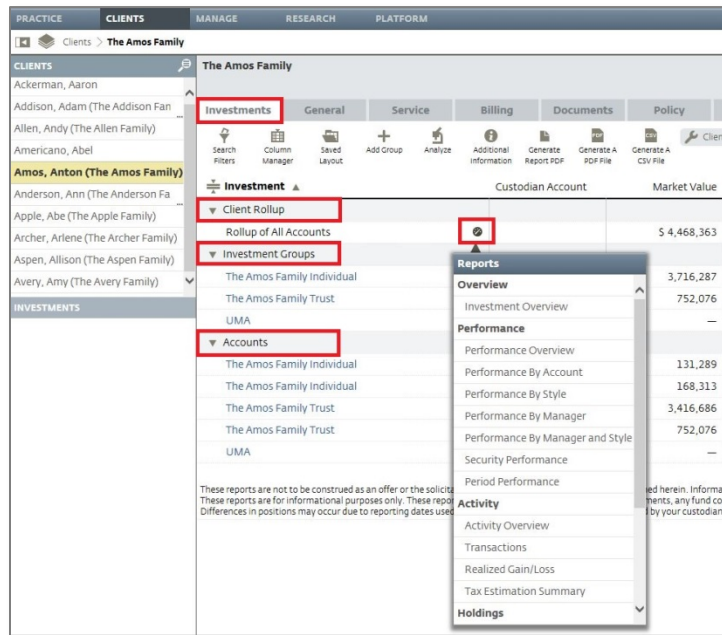
1 LEVELS OF REPORTING

1.1 CLIENT, GROUP, AND ACCOUNT LEVEL

Select a client from the client list that's displayed under the **Clients** tab in the global toolbar. Various levels of reports can be accessed from the **Investments** tab of the client profile page.



View client level, account level, or group level reports by clicking the **Gauge** icon, and selecting a report from the available menu.



When viewing a report, use the **Stripe** icon to adjust your level of reporting.

The Amos Family Reports: Rollup of All Accounts

Overview Performance Activity Holdings

Search Filters Hierarchy Analyze Additional Error Details Generate A Reports

Rollup of All Accounts

Investment Groups

The Amos Family Individual

Accounts

The Amos Family Individual | DEMO-1204467 | \$131,289

The Amos Family Individual | DEMO-1204468 | \$168,313

The Amos Family Trust | DEMO-1204465 | \$3,416,686

The Amos Family Trust | DEMO-1204466 | \$752,076

Asset Allocation ⁸

Category	Value
Equity	81.10 %
Large-Cap Growth	33.67
Large-Cap Core	15.56
Large-Cap Value	23.77
Mid-Cap Growth	0.44
Mid-Cap Core	1.96
Mid-Cap Value	0.06
Small-Cap Core	1.96
REITs	0.93
All Cap	2.76
International	1.97
Int'l Developed Mkts	1.60
Int'l Emerging Mkts	0.36
Fixed Income	8.64
Intermediate Bond	0.35
Intermediate Muni	6.47

Performance ²	Investment	Benchmark ³
Inception to Date	7.78 %	5.53 %
Year to Date	1.34	1.37
Quarter to Date	1.34	1.37

All reports are printable to a **PDF** document and many can be exported to a **CSV** spreadsheet.

Overview Performance Activity Holdings

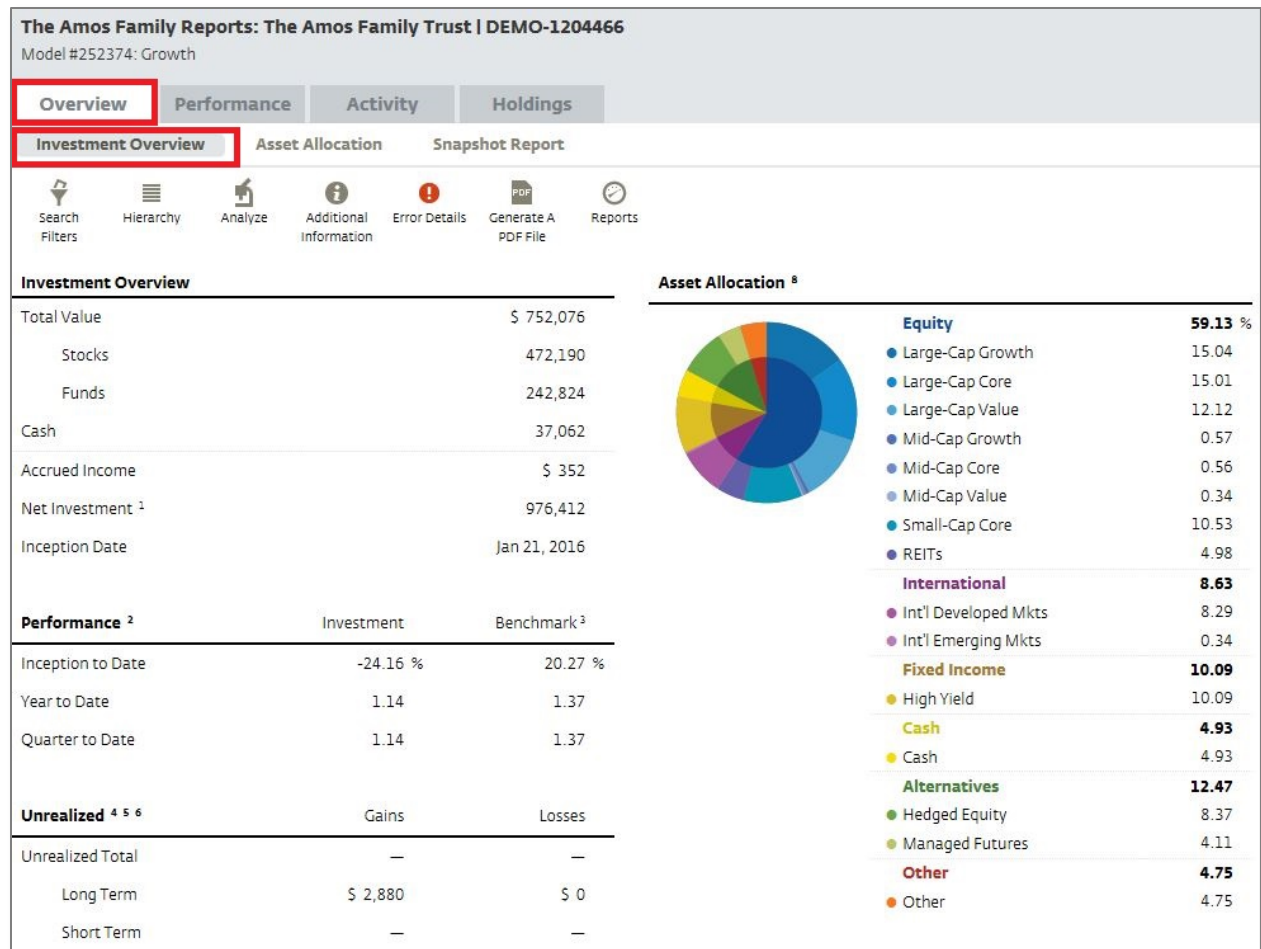
Performance Overview Performance By Account Security Performance Period Performance

Search Filters Column Manager Saved Layout Hierarchy Analyze Additional Information Generate A PDF File Generate A CSV File Reports

1.2 OVERVIEW TAB

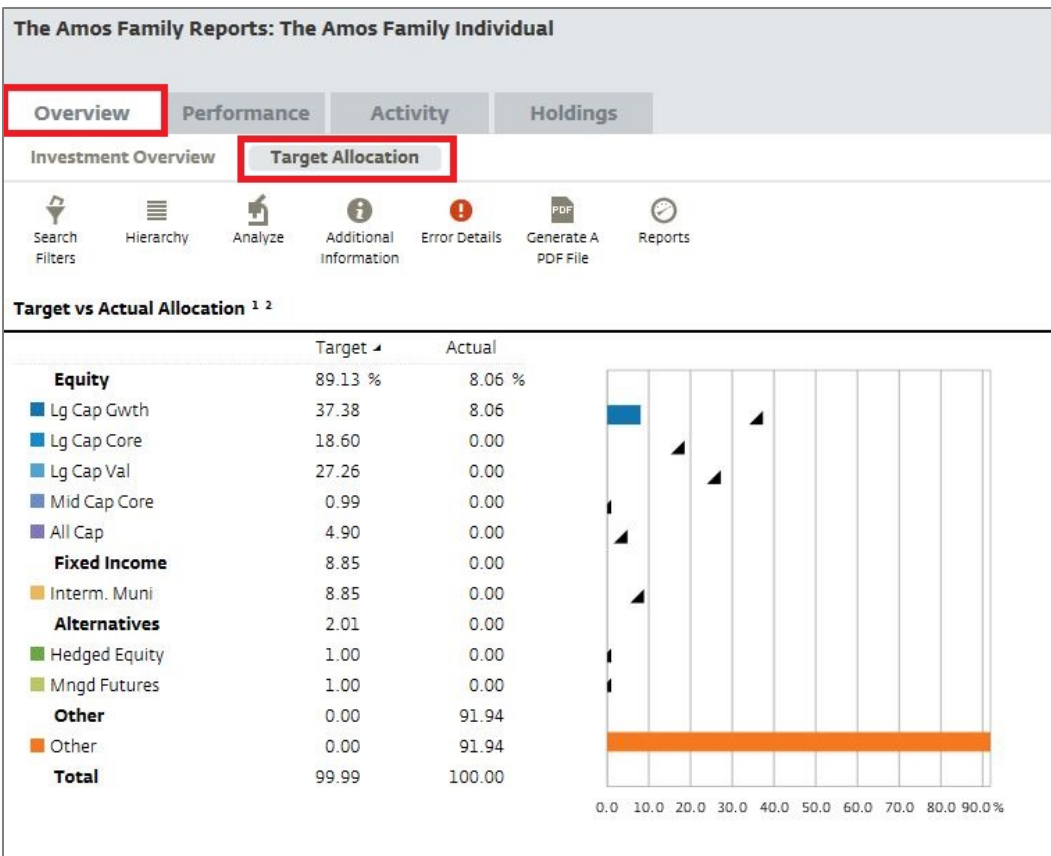
1.2.1 INVESTMENT OVERVIEW

View an **Investment Overview** at the client, account, and group level. This report will show summary level information such as market value, performance, and unrealized gains/losses. An asset allocation Chart will be displayed for advisor models, UMA models, and fund strategist portfolios.



1.2.2 TARGET ALLOCATION

At the group level, this report will show each target asset allocation in comparison to its actual allocation. The risk assessment chart and the drift percentages of each asset class will also be viewable in this report.

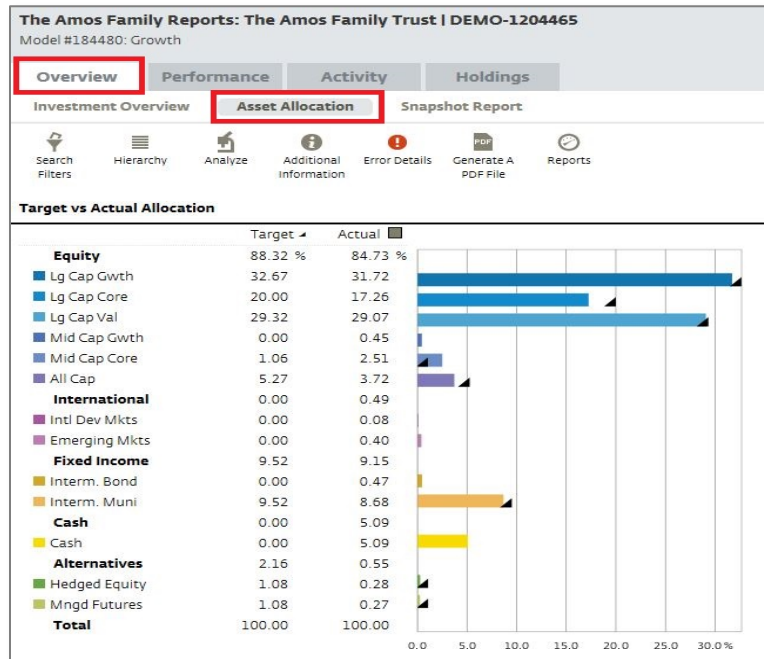


Asset Class	Target ¹	Target %	IPS min	IPS max	Actual	Actual %	Drift	Drift %
▶ Equity	\$ 3,312,281	89.1 %	—	—	\$ 299,602	8.1 %	\$ -3,012,679	-81.1 %
▶ Fixed Income	328,990	8.9	—	—	0	0.0	-328,990	-8.9
▶ Alternatives	74,645	2.0	—	—	0	0.0	-74,645	-2.0
▶ Other	0	0.0	—	—	3,416,686	91.9	3,416,686	91.9
Total	3,715,916	100.0	—	—	3,716,287	100.0	372	0.0



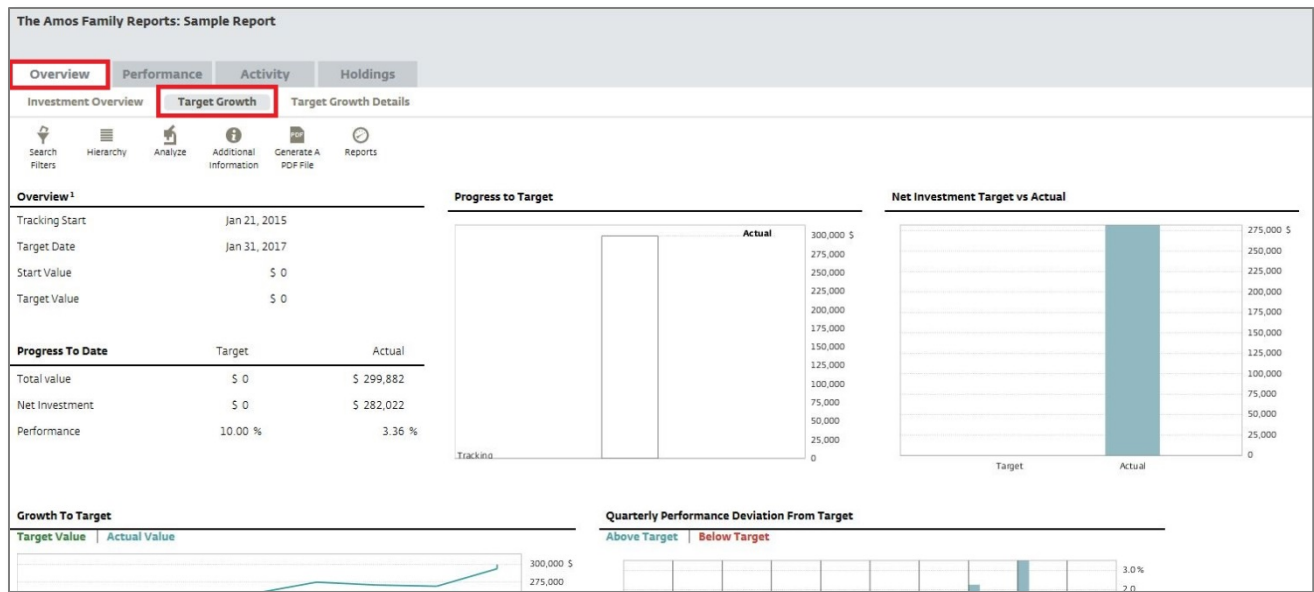
1.2.3 ASSET ALLOCATION REPORT

At the account and group levels, this report provides an analysis of the target allocation vs. the actual allocation of a client account.



1.2.4 TARGET GROWTH

This report shows how the reporting/investment group has performed in relation to the growth target that's been set within numerous charts and graphs. This report is available at the group level.



1.2.5 TARGET GROWTH DETAILS

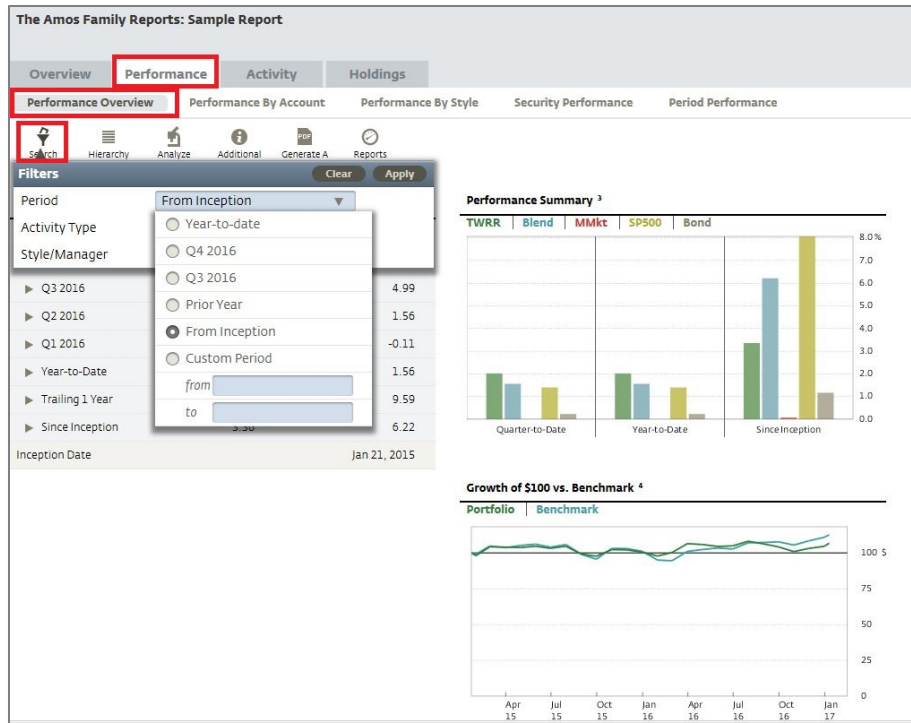
This report shows a detailed breakdown of each contribution and withdrawal date. The **Target Growth Details Report** utilizes past performance and current market account holdings on the platform to track over time, how the group is performing against the proposed target. It does not indicate hypothetical future performance, or take into account the past performance results of holdings outside of the platform. This report is intended for informational purposes only. Past performance is not a guarantee of future returns.

Transactions	Target Value	Actual Value	Target Net Investment	Actual Net Investment	Target Perf To Date	Target Perf Period	Actual Perf To Date	Actual Perf Period
Jan 21, 2015	\$ 0	\$ 115,417	\$ 0	\$ 115,417	0.00 %	-0.03 %	0.00 %	0.00 %
Mar 31, 2015	0	246,528	0	236,522	1.82	1.79	4.05	4.05
Jun 30, 2015	0	244,554	0	236,522	4.26	2.38	3.21	-0.80
Sep 30, 2015	0	245,648	0	251,022	6.80	2.40	-2.32	-5.36
Dec 31, 2015	0	259,643	0	258,022	9.39	2.40	0.52	2.91
Mar 31, 2016	0	274,984	0	258,022	12.02	2.38	6.46	5.91
Jun 30, 2016	0	270,949	0	258,022	14.71	2.38	4.90	-1.47
Sep 30, 2016	0	269,191	0	258,022	17.50	2.40	4.22	-0.65
Dec 31, 2016	0	293,941	0	282,022	20.35	2.40	4.62	0.39
Jan 10, 2017	0	299,882	0	282,022	20.67	0.24	6.73	2.02

1.3 PERFORMANCE TAB

1.3.1 PERFORMANCE OVERVIEW

View an overview of returns by performance periods at the client, group, and account level. Use the **Funnel** icon to filter the data to display point to point performance, while providing benchmark returns during the selected period, along with a summary of account activity.



1.3.2 PERFORMANCE BY ACCOUNT

This report provides a consolidated view of client accounts that are available at the client, group, and account levels. This report can be grouped by account, styles of the underlying holdings, or manager for UMA/ MMA accounts. It includes inception-to-date performance, year-to-date performance, net investment, and current market value.

The Amos Family Reports: Rollup of All Accounts

Component/Benchmarks ^{1 2}	QTD	YTD	Trailing 1 Yr ²	Trailing 3 Yrs	Trailing 5 Yrs	Trailing 10 Yrs	From Start Date	Start Date	Product Name	Net Investment ⁴	Beginning Value	Net C
▼ The Amos Family Individual DEMO-1497817	5.08 %	5.08 %	21.94 %				9.85 %	Jan 21, 2015	Raub Brock Dividend Growth Man	5,151,413	5,115,417	
Russell 1000 Growth TR	7.49	7.49	30.21				16.82					
▼ The Amos Family Individual DEMO-1497818	5.04	5.04	22.04				10.73	Jan 23, 2015	Raub Brock Dividend Growth Man	190,600	106,605	
Russell 1000 Growth TR	7.49	7.49	30.21				16.35					
▼ The Amos Family Trust DEMO-1497815	4.62	4.62	12.07				9.74	Jan 23, 2015	Model #184480: Growth	3,306,081	3,121,564	
62% Russell 3000 TR, 24% MSCI EAFE GR, 14% Bloomberg E	5.16	5.16	19.55				11.09					
▼ The Amos Family Trust DEMO-1497816	3.74	3.74	14.32				12.71	Jan 21, 2016	Model #252374: Growth	1,150,763	651,444	
62% Russell 3000 TR, 24% MSCI EAFE GR, 14% Bloomberg E	5.16	5.16	19.55				22.39					

1.3.3 PERFORMANCE BY STYLE

This report shows performance data broken down by style type. The report is available at the rollup, investment group and account levels, and will show Style Totals and Style Pct. (%).

The Amos Family Reports: Rollup of All Accounts

Overview **Performance** Activity Holdings

Performance By Style Search Filters Column Manager Saved Layout Hierarchy Analyze Additional Information Error Details Generate A PDF File Generate A CSV File Reports

Component/Benchmarks ^{1 2}	QTD	YTD	Trailing 1 Yr ²	Trailing 3 Yrs	Trailing 5 Yrs	Trailing 10 Yrs	From Start Date	Start Date	Style Total	Style Pct
▶ Equity	5.87 %	5.87 %	21.09 %				15.09 %	Jan 21, 2015	\$ 4,513,896	78.00 %
▶ International	5.77	5.77	23.38				11.02	Jan 23, 2015	256,324	4.43
▶ Fixed Income	0.17	0.17	9.31				11.60	Jan 23, 2015	225,366	3.89
▶ Alternatives	3.74	3.74	-44.69				7.86	Jan 28, 2015	386,918	6.69
▶ Other	0.03	0.03	6.05				4.05	Jan 21, 2016	404,304	6.99

1.3.4 PERFORMANCE BY MANAGER

This Report shows performance information by selected Manager. Filter capabilities allow to the performance report to be filtered by Style or Manager Sleeve. Performance information shown will be from inception to date to 10Yr. The manager totals and manager percentage will also be shown.

The Amos Family Reports: Rollup of All Accounts

Overview **Performance** Activity Holdings

Performance By Manager Search Filters Column Manager Saved Layout Hierarchy Analyze Additional Information Error Details Generate A PDF File Generate A CSV File Reports

Component/Benchmarks ^{1 2}	Style Name	QTD	YTD	Trailing 1 Yr ²	Trailing 3 Yrs	Trailing 5 Yrs	Trailing 10 Yrs	From Start Date	Start Date	Manager Total	Manager Pct
▼ PowerShares Dynamic Large Cap Growth	Large-Cap Growth	7.90 %	7.90 %					9.17 %	Dec 1, 2017	\$ 195,918	4.01 %
Russell 1000 Growth TR		7.49	7.49					8.79			
▼ ClearBridge Large Cap Growth Portfolio	Large-Cap Growth	7.62	7.62					9.37	Dec 1, 2017	165,807	3.39
Russell 1000 Growth TR		7.49	7.49					8.79			
▼ iShares S&P 500 Growth	Large-Cap Growth	7.17	7.17					8.18	Dec 1, 2017	309,841	6.34
Russell 1000 Growth TR		7.49	7.49					8.79			
▼ Raub Brock Dividend Growth Managed Ac	Large-Cap Growth	5.52	5.52	24.05 %				12.44	Jan 21, 2015	1,297,753	26.58
Russell 1000 Growth TR		7.49	7.49	30.21				16.80			
▼ Wakefield Large Cap Equity Managed Acci	Large-Cap Core	8.01	8.01					10.05	Dec 1, 2017	173,033	3.54
S&P 500 TR		6.28	6.28					7.68			
▼ Eagle Ridge Global Quality Managed Acco	Large-Cap Core	5.31	5.31					5.64	Dec 1, 2017	626,088	12.82
75% Russell 1000 TR, 25% MSCI All Count		6.22	6.22					8.02			
▼ Glenmede Large Cap Equity Managed Acc	Large-Cap Core	6.36	6.36					7.97	Dec 1, 2017	164,708	3.37
Russell 1000 TR		6.17	6.17					7.56			

1.3.5 PERFORMANCE BY MANAGER AND STYLE

This report will show both the manager and style performance under one report. Each managed product will be broken down by style and corresponding benchmark. This report is available at the rollup, investment group, and account levels.

The Amos Family Reports: Rollup of All Accounts

Overview Performance Activity Holdings

Performance By Manager and Style

Component/Benchmarks 1 2	Style Name	QTD	YTD	Trailing 1 Yr ³	Trailing 3 Yrs	Trailing 5 Yrs	Trailing 10 Yrs	From Start Date	Start Date	Manager Total	Manager Pct
PowerShares Dynamic Large Cap Growth	Large-Cap Growth										
Large-Cap Growth		7.90 %	7.90 %					9.17 %	Dec 1, 2017	\$ 195,918	4.01 %
Russell 1000 Growth TR		7.49	7.49					8.79			
ClearBridge Large Cap Growth Portfolio	Large-Cap Growth										
Large-Cap Growth		7.62	7.62					9.37	Dec 1, 2017	165,807	3.39
Russell 1000 Growth TR		7.49	7.49					8.79			
iShares S&P 500 Growth	Large-Cap Growth										
Large-Cap Growth		7.17	7.17					8.18	Dec 1, 2017	309,841	6.34
Russell 1000 Growth TR		7.49	7.49					8.79			
Russell 1000 Growth TR	Large-Cap Growth										
Large-Cap Growth		5.52	5.52	24.05 %				12.44	Jan 21, 2015	1,297,753	26.58
Russell 1000 Growth TR		7.49	7.49	30.21				16.80			
Wahfield Large Cap Equity Managed Acco	Large-Cap Core										
Large-Cap Core		8.01	8.01					10.05	Dec 1, 2017	173,033	3.54
Russell 1000 TR		6.17	6.17					7.56			
Eagle Ridge Global Quality Managed Acco	Large-Cap Core										
Large-Cap Core		5.31	5.31					5.64	Dec 1, 2017	626,088	12.82
Russell 1000 TR		6.17	6.17					7.56			

1.3.6 SECURITY PERFORMANCE

This report provides performance information for a specific holding in an account. View this report at the client, group, or account level, and adjust the performance periods using the Funnel icon.

The Amos Family Reports: Rollup of All Accounts

Overview Performance Activity Holdings

Security Performance

Holding/Ticker	Start Date	Market Value ¹	Total %	Income Earned ²	QTD	YTD	Trailing 1 Yr ³	Trailing 3 Yrs	From Start Date ⁴
Taiwan Semiconductor Manufacturing Co Ltd TSM	Jul 29, 2016	\$ 5,276	0.09 %	\$ 108	16.72 %	16.72 %	41.74 %	—	43.58 %
People's United Financial Inc PBCT	Dec 1, 2015	7,743	0.13	370	7.27	7.27	0.58	—	12.87
China Mobile Ltd CHL	Jan 28, 2015	2,407	0.04	864	3.54	3.54	-3.60	—	0.94
HDFC Bank Ltd HDB	Jul 29, 2016	4,405	0.08	16	5.69	5.69	70.12	—	35.54
American Electric Power Co Inc AEP	Dec 1, 2016	6,189	0.11	215	-6.52	-6.52	20.79	—	19.17
Cummins Inc CMI	Mar 12, 2015	68,212	1.17	4,074	6.67	6.67	33.47	—	15.64
Aetna Inc AET	Jan 25, 2016	7,194	0.12	34	5.27	5.27	46.46	—	35.40
The Hershey Co HSY	Mar 1, 2017	6,981	0.12	177	-2.38	-2.38	—	—	2.01
DSV A/S DSDVY	Aug 22, 2016	4,781	0.08	9	2.84	2.84	77.70	—	39.21
Chr. Hansen Holding A/S CHYHY	Jul 29, 2016	3,234	0.06	61	-4.30	-4.30	72.49	—	29.45
International Flavors & Fragrances Inc IFF	Dec 1, 2016	27,236	0.47	298	0.25	0.25	32.40	—	28.04
The Western Union Co WU	Dec 1, 2015	7,297	0.12	895	9.05	9.05	-9.49	—	7.06
Gilead Sciences Inc GILD	Jan 28, 2015	14,166	0.24	685	13.00	13.00	2.47	—	-4.38

1.3.7 PERIOD PERFORMANCE

Use this report to view Monthly, Quarterly, or Annual performance on any account during a specified start and end date. This is available at the client, group, and account level.

The Amos Family Reports: Rollup of All Accounts

Overview Performance Activity Holdings

Period Performance

Filters

Period: From Inception

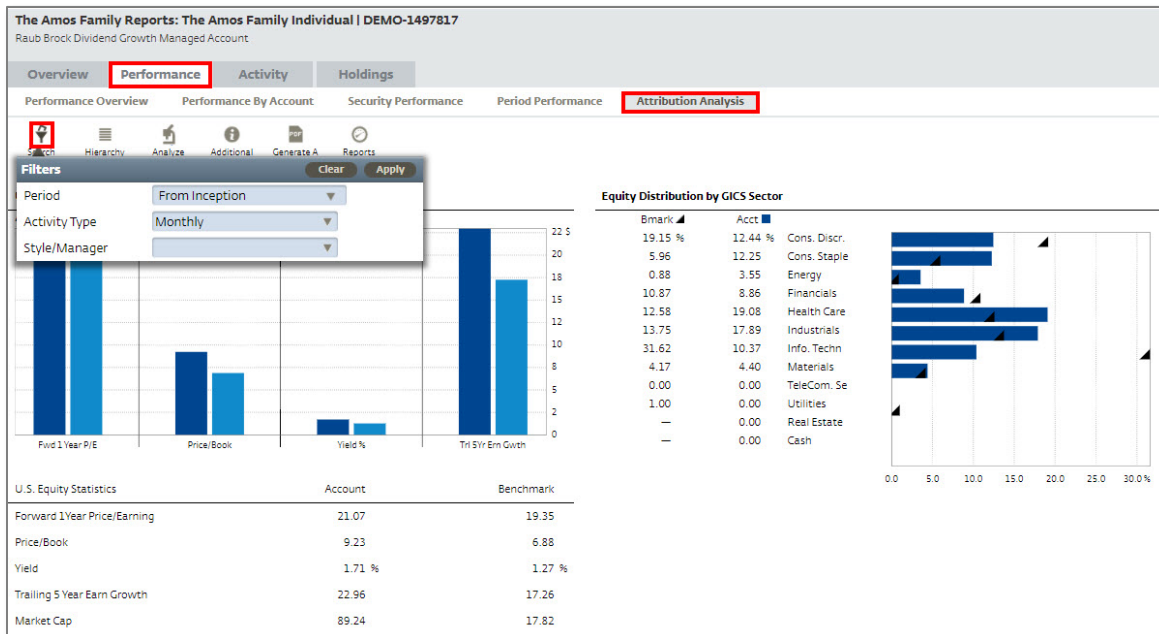
Activity Type: Monthly

Style/Manager:

Period Ending					TWRR Date ¹	TWRR Period ²	Benchmark To Date ²	Benchmark Period ²
Jan 21, 2015								
Jan 31, 2015					-0.83	-0.83	-0.77	-0.77
Feb 28, 2015	3,456,813	3,017	3,358,087	1	3.83	4.69	4.11	4.93
Mar 31, 2015	3,438,186	4,600	3,358,087	0	3.32	-0.49	3.16	-0.90
Apr 30, 2015	3,436,868	5,056	3,358,081	-6	3.29	-0.02	4.49	1.27
May 31, 2015	3,461,984	5,488	3,358,077	-5	4.06	0.74	5.28	0.76
Jun 30, 2015	3,412,120	3,828	3,358,054	-23	2.51	-1.49	3.37	-1.79
Jul 31, 2015	3,452,243	2,681	3,358,054	0	3.68	1.14	5.02	1.59
Aug 31, 2015	3,304,119	4,649	3,358,054	0	-0.71	-4.23	-0.82	-5.52
Sep 30, 2015	3,552,381	5,914	3,372,554	14,500	6.33	7.08	-3.72	-2.92
Oct 31, 2015	3,730,374	5,553	3,379,547	6,993	11.42	4.79	2.74	6.76
Nov 30, 2015	3,728,080	6,228	3,379,547	0	11.38	-0.04	2.68	-0.06
Dec 31, 2015	3,685,912	3,993	3,379,524	-23	10.05	-1.19	0.99	-1.64

1.3.8 ATTRIBUTION ANALYSIS

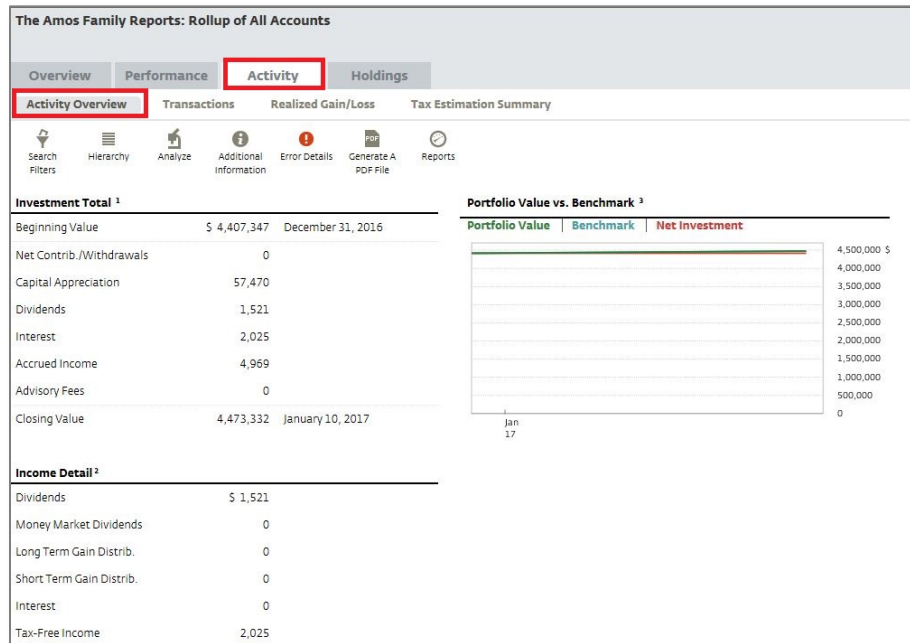
The **Attribution Analysis** is available for separately managed accounts (SMAs) invested via the Envestnet Platform. This report provides an analysis of the securities held in the SMA while detailing the largest holdings and best and worst performers in the SMA. The report also provides details for forward 1Y price/earnings, price/book, yield %, market cap (\$Billion), and country against the appropriate benchmark for any given period.



1.4 ACTIVITY TAB

1.4.1 ACTIVITY OVERVIEW

This report gives a summary level view of all transactional information including market value movement, advisory fees, and income detail. This is available at the client, group, and account levels.



1.4.2 TRANSACTIONS REPORT

The **Transactions Report** will include a detailed report of all transactions for an account(s) on the platform. Use the **Funnel** icons to filter by period, symbol, and/or transaction. Use the **Groupings** icon to group the data by asset class, transaction type, fund family, income type, or registration. This report is available at the client, group, and account level.

The Amos Family Reports: Rollup of All Accounts

Overview Performance **Activity** Holdings

Activity Overview **Transactions** Realized Gain/Loss Tax Estimation Summary

Search Column Saved Groupings Hierarchy Analyze Additional Information Error Details Generate A PDF File Generate A CSV File Reports

Filters

Period: Year-to-date

Transaction	Units	Per Unit	Amount
Corp XRX	543.000	\$ 8.73	\$ -4,740.39
Corp XRX	543.000	8.05	4,370.67
ent Inc CNDT	108.600	3.40	369.72
Corp XRX	116.000	8.73	-1,012.68
Corp XRX	116.000	8.05	933.70
ent Inc CNDT	23.200	3.40	78.98
to Co MON	25.000	104.99	-2,624.75
Ill O Hare Intl Arpt R 167593SM5		—	375.00
nd Ohio Arpt Sys Rev 186352MA5		—	525.00
Health & Higher Edl Facs 56042RCR1		—	250.00
Dade Cnty Fla Tran Sys S 59334PDS3		—	375.00
Jan 3, 2017 DEMO-1204465 Interest Washington St 93974CRR3		—	500.00
Jan 3, 2017 DEMO-1204465 Dividend Albemarle Corp ALB		—	20.44
Jan 3, 2017 DEMO-1204465 Dividend Allstate Corp ALL		—	33.00
Jan 3, 2017 DEMO-1204465 Dividend Automatic Data Processing Inc ADP		—	34.77
Jan 3, 2017 DEMO-1204465 Dividend H&B Block Inc HBR		—	28.16

1.4.3 REALIZED GAIN/LOSS

The **Realized Gain/Loss** report gives a detailed snapshot of the realized gains and losses for the securities held within a client’s portfolio. This report can assist an advisor in managing taxes. The report breaks the gains/losses into short- and long-term categories and reports quantity, cost basis, proceeds, and realized gain/loss for each tax lot. This is available at the client, group, and account level.

The Amos Family Reports: Rollup of All Accounts

Overview Performance **Activity** Holdings

Activity Overview Transactions **Realized Gain/Loss** Tax Estimation Summary

Search Filters Column Manager Saved Layout Groupings Hierarchy Analyze Additional Information Error Details Generate A PDF File Generate A CSV File Reports

Gains/Losses	Account	Opened	Closed	L/S	Units	Cost Basis
iShares Russell 1000 Value IVD	DEMO-1204465	Dec 5, 2016	Jan 5, 2017	S	255.000	\$ 28,195.32
iShares Russell 1000 Growth IWF	DEMO-1204465	Dec 5, 2016	Jan 5, 2017	S	1259.000	130,583.35
iShares Russell 1000 IWB	DEMO-1204465	Dec 5, 2016	Jan 5, 2017	S	665.000	81,893.09
Conduent Inc CNDT	DEMO-1204465	Jul 5, 2016	Jan 6, 2017	S	0.600	2.16
Conduent Inc CNDT	DEMO-1204465	Mar 28, 2016	Jan 6, 2017	S	0.200	0.83
Total						240,674.75

1.4.4 TAX ESTIMATION SUMMARY

The **Tax Estimation Summary Report** provides dividend income, tax-exempt income, short-term gain/loss, and long-term gain/loss for each account in a client registration. This report is only available at the client and group level.

The Amos Family Reports: Rollup of All Accounts

Overview Performance **Activity** Holdings

Activity Overview Transactions Realized Gain/Loss **Tax Estimation Summary**

Search Filters Column Manager Saved Layout Hierarchy Analyze Additional Information Error Details Generate A PDF File Generate A CSV File Reports

Accounts	Product Name	Taxable Interest	Tax-Free Income ¹	Dividend Income	Money Mkt Dividends	Short Term Gain Distrs
The Amos Family Individual DEMO-12044	Raub Brock Dividend Growth Man	\$ 0.00	\$ 0.00	\$ 21.60	\$ 0.00	\$ 0.00
The Amos Family Individual DEMO-12044	Raub Brock Dividend Growth Man	0.00	0.00	22.32	0.00	0.00
The Amos Family Trust DEMO-1204465	Model #184480: Growth	0.00	2,025.00	999.69	0.00	0.00
The Amos Family Trust DEMO-1204466	Model #252374: Growth	0.00	0.00	477.29	0.00	0.00
Total		0.00	2,025.00	1,520.90	0.00	0.00

1.5 HOLDINGS TAB

1.5.1 HOLDINGS OVERVIEW

This report provides summary level information for holdings in a portfolio at any given point. An overview of holdings can be viewed at the client, group, and account levels.

The Amos Family Reports: Rollup of All Accounts

Overview Performance Activity **Holdings**

Holdings Overview **Holdings Overview** Hierarchy Analyze Additional Information Error Details Generate A PDF File Reports

	Total %	Total	Asset Class ⁴	
		\$ 4,046,233	\$	
	65.6 %	2,930,703	1,362,483	Lg Cap Gwth
			961,783	Lg Cap Val
	5.8	259,908	629,617	Lg Cap Core
			259,908	Interm. Muni
	24.2	1,079,770	189,508	Cash
			111,531	All Cap
	4.4	197,982	79,328	Mid Cap Core
			79,157	Sm Cap Core
			75,848	High Yield
			71,278	Hedged Equity
			225,792	Other

Unrealized ^{1 2 3}

	Gains	Losses
Unrealized Total	—	—
Long Term	\$ 348,690	\$ -168,130
Short Term	—	—

1.5.2 HOLDINGS DETAIL

This report gives a detailed snapshot of the securities held within an account or group of accounts including symbol, quantity, sector/asset class, cost basis, gain/loss, bond maturity and coupon, percent of total, and market value.

The Amos Family Reports: Rollup of All Accounts

Overview Performance Activity **Holdings**

Holdings Detail Search Filters Column Manager Saved Layout Groupings Hierarchy Analyze Additional Information Error Details Generate A PDF File Generate A CSV File Reports

Holdings	Units	Cost ¹	Market Value	Accrued	Gain/Loss ²	Gain/Loss %	Total %
Motorola Solutions Inc MSI	278	\$ 17,273	\$ 22,971	\$ 131	\$ 5,698	33.0 %	0.5 %
DaVita Inc DVA	92	5,951	5,854	0	-98	-1.6	0.1
Realty Income Corp O	71	3,596	4,167	14	571	15.9	0.1
Microsoft Corp MSFT	621	28,823	38,887	0	10,064	34.9	0.9
Novartis Ag NVS	138	10,253	10,227	0	-26	-0.3	0.2
NetApp Inc NTAP	289	8,115	10,187	55	2,072	25.5	0.2
People's United Financial Inc PBCT	311	5,290	6,064	0	774	14.6	0.1
State Street Corp STT	113	8,530	9,187	43	657	7.7	0.2
Marten Transport Ltd MRTN	215	4,260	4,956	0	696	16.3	0.1
China Mobile Ltd CHL	46	2,570	2,507	0	-64	-2.5	0.1
Cummins Inc CMI	367	38,350	51,219	0	12,868	33.6	1.1
American Electric Power Co Inc AEP	90	5,234	5,591	0	357	6.8	0.1
National Oilwell Varco Inc NOV	157	5,231	5,928	0	697	13.3	0.1

1.5.3 UNREALIZED GAINS/LOSSES

The report gives a detailed snapshot of the short term and long term gains/losses for the securities held within a client's portfolio. This report can assist an advisor in managing taxes. The report displays cost, units and the unrealized gain/loss for each tax lot. The advisor can also generate an unrealized gain/loss report for a specific historical date.

The Amos Family Reports: Rollup of All Accounts

Overview Performance Activity **Holdings**

Unrealized Gains/Losses Search Filters Column Manager Saved Layout Groupings Hierarchy Analyze Additional Information Error Details Generate A PDF File Generate A CSV File Reports

Holdings

- Short Term
- Long Term
- Total**

These reports are not to be construed as investment advice. These reports are for informational purposes only. Differences in positions may occur.

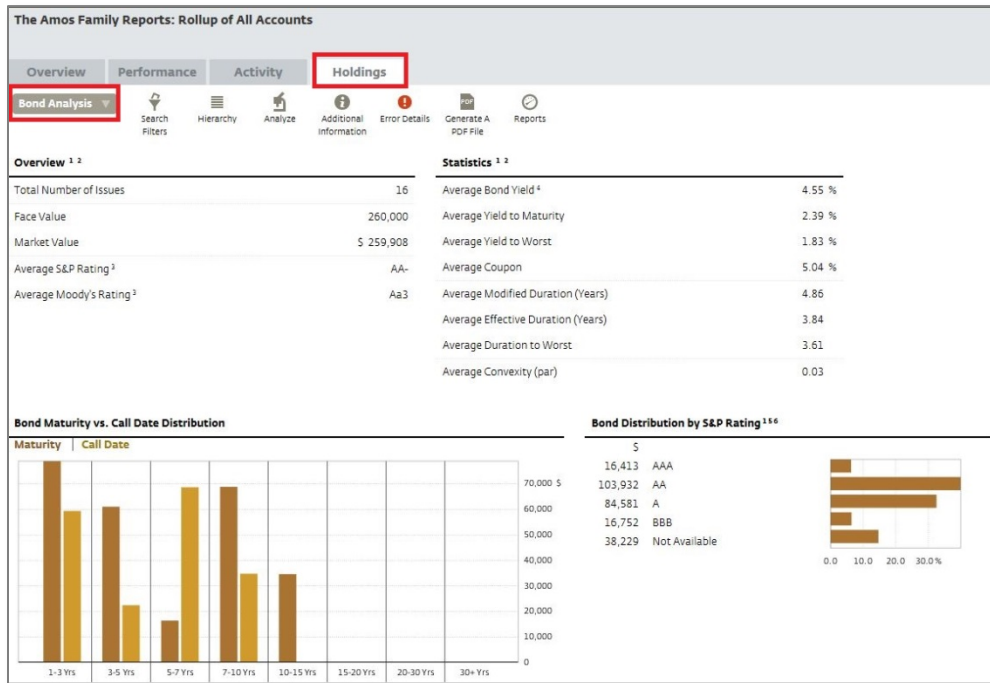
Information contained in these reports is based on sources and data believed reliable. The information used to calculate the values shown in these reports is based on fund company statements, or the 1099 tax forms. You are urged to compare this report with the statements of the custodian. There may also be differences in the investment values shown due to the use of differing valuation methods.

Cost ¹	Market Value	Accrued	Gain/Loss ²	Gain/Loss %
—	\$ 2,689,534	\$ 2,854	—	—
185	1,580,847	2,115	190,662	13.7
—	4,270,381	4,969	—	—

Filters: Ticker, Holdings Type, Parent Style Type, Style Type, Sector, Bond Type, Account, Custodian (All Custodians), Historical Date (MM/DD/YYYY), Supervised/Unsupervised

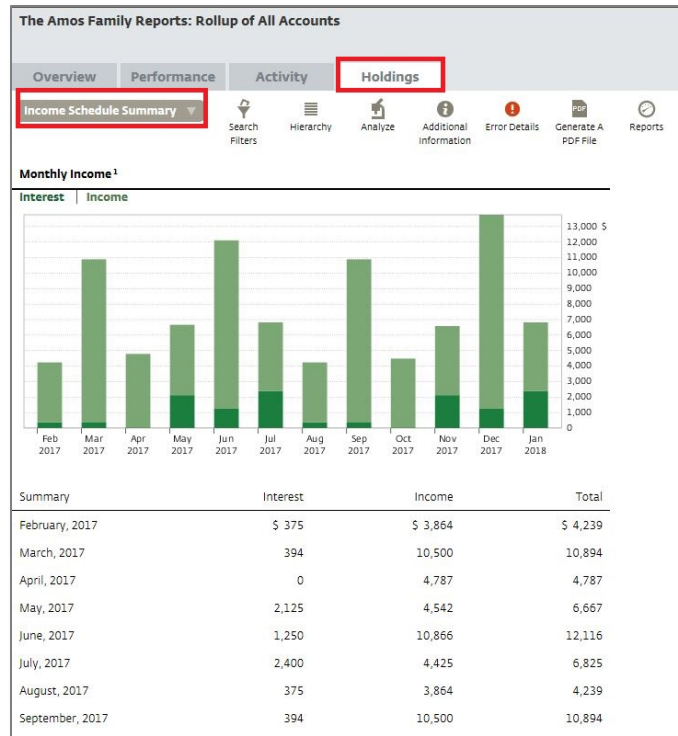
1.5.4 BOND ANALYSIS

This report provides an in-depth view of the bonds within a client’s accounts. The statistics, credit quality, periods, and coupon rates are available at the client, group, and account level.



1.5.5 INCOME SCHEDULE SUMMARY

This report shows the projected interest and income that’s been accumulated every month for all client accounts, a group of accounts, or an individual account to help plan for expected income.



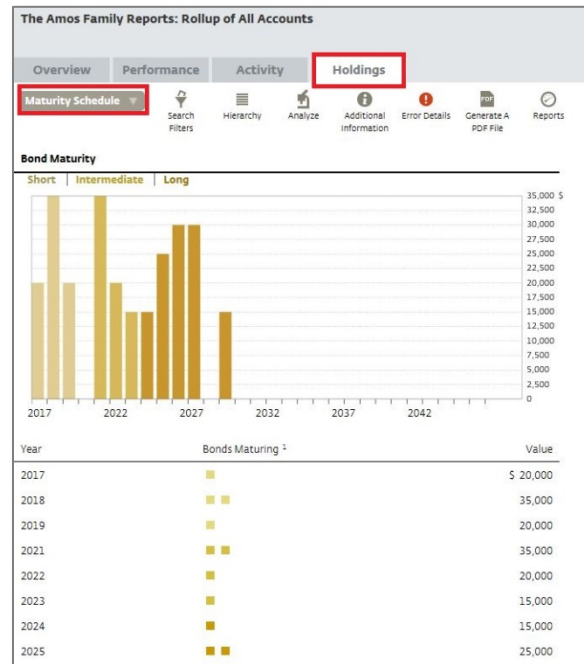
1.5.6 INCOME SCHEDULE DETAIL

This report provides expected income from equities, funds, and fixed income securities for the coming 12 months. This helps an advisor plan for the client’s income needs or reinvestment alternatives in the near future. View this report at the client, group, and account levels.

Holding ^{1,2}	May 2017	Jun 2017	Jul 2017	Aug 2017	Sep 2017	Oct 2017
ABM Industries Inc ABM	58					
Accenture PLC A ACN	597					
Activision Blizzard Inc ATVI	9					
adidas AG ADDYY	3			3		
Adobe Systems Inc ADBE						
Advance Auto Parts Inc AAP	58		58			58
Aetna Inc AET	7		7			7
Aflac Inc AFL			18			18
Air Products & Chemicals Inc APD	40			40		
Akamai Technologies Inc AKAM						
Alaska Air Group Inc ALK		23				23
Albemarle Corp ALB		20				20
Alexion Pharmaceuticals Inc ALXN						

1.5.7 MATURITY SCHEDULE

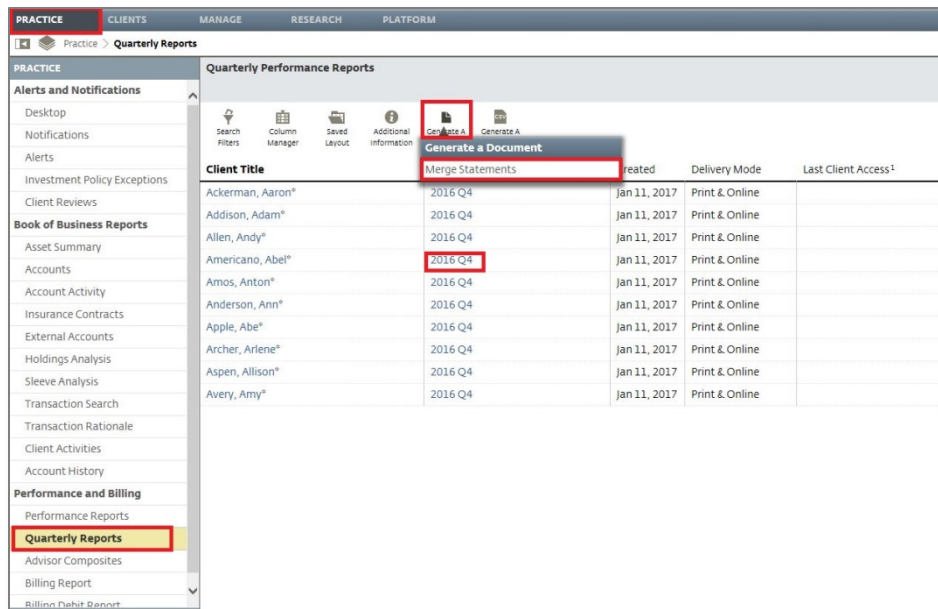
This report classifies the holdings within a fixed income account by year of maturity, as well as summing the redemption value of the bonds for each year. This helps an advisor plan for future investments or redemptions. View this report at the client, group, or account level.



2 BOOK OF BUSINESS REPORTS

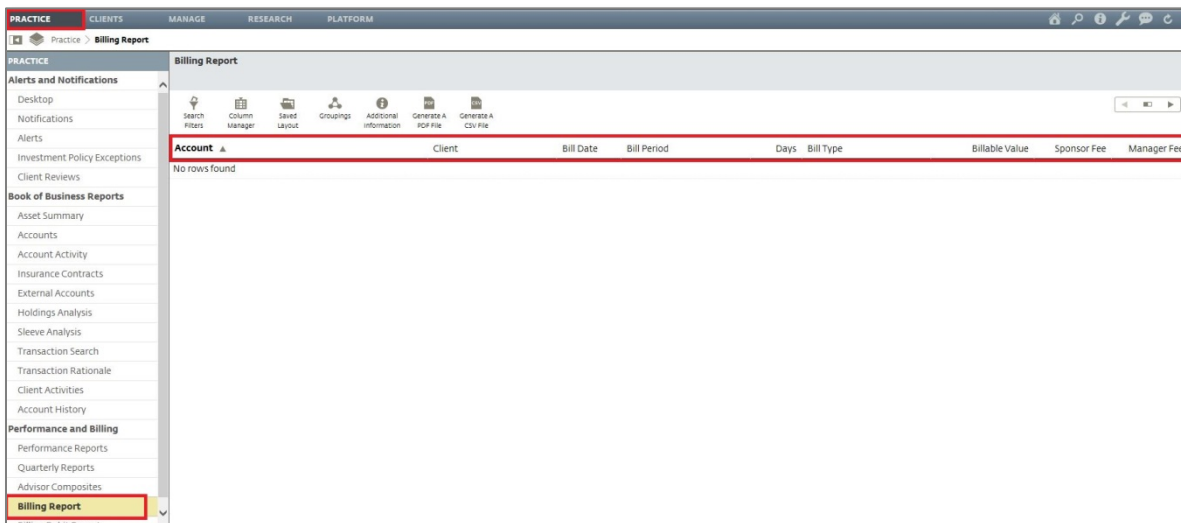
2.1 QUARTERLY PERFORMANCE REPORTS

QPR's can be found under the **Practice** tab within the global toolbar. This will then populate a list of **Quarterly Performance Reports** that are available for all your clients. Here you can merge statements, filter for specific QPRs, and even export the QPR to a PDF document.



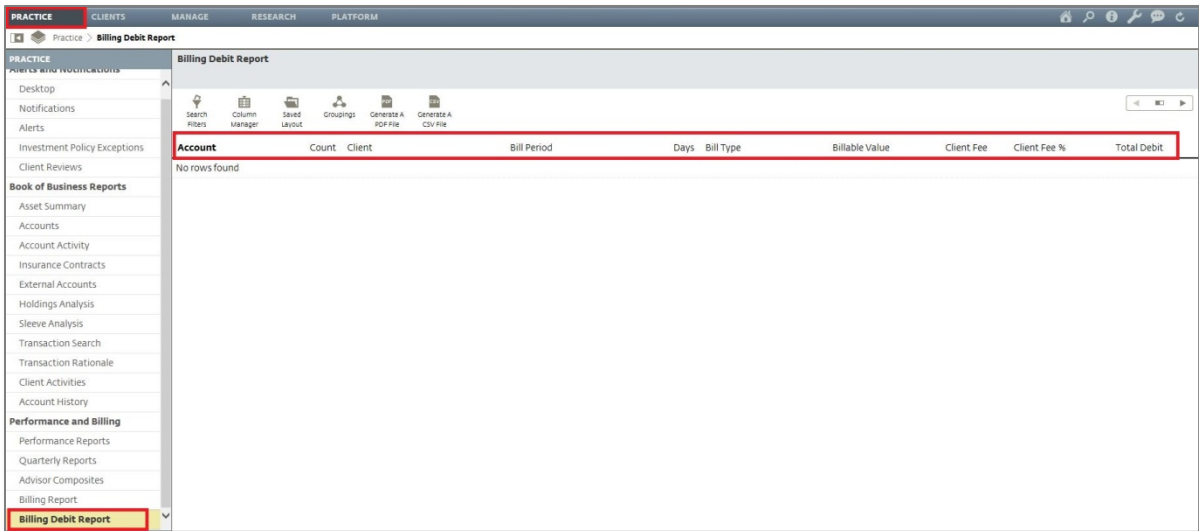
2.2 BILLING REPORT

The **Billing Report** helps track when clients are billed, as well as provides a breakdown of the Program, Manager, and Advisor billing components of the total client fee. Use the **Funnel** icon to filter up data by account, bill date, or even bill type.



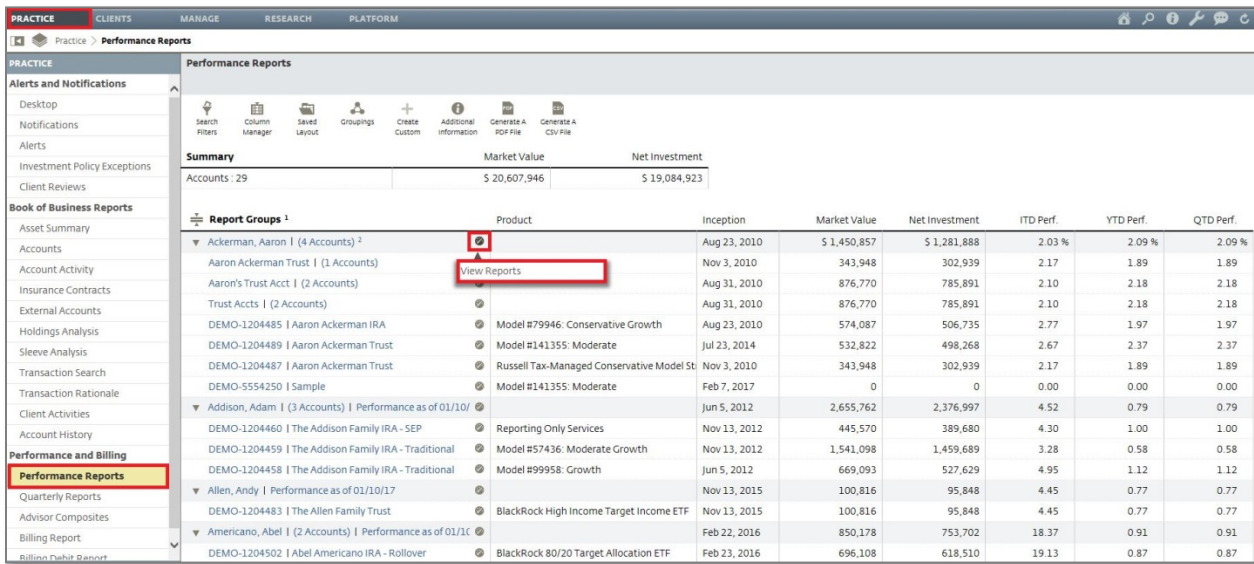
2.3 BILLING DEBIT REPORT

This report shows current cash levels in an accounts debit account and helps show if there is enough cash available to cover fees.



2.4 PERFORMANCE REPORTS

The **Performance Report** is a rollup view of client accounts with a performance summary. You have the option to view reports, and the ability to create custom grouping across clients, accounts, and products.



2.5 ASSET SUMMARY

This provides a summary of managed assets broken down by program, along with a summary of reporting only assets. Top 10 clients, funds, and stocks can also be added to this report by using the **Desktop Manager** icon.

Managed Assets	YTD Fees	YTD Growth	Accts	Current	Accts	Total %
Unified Managed Account	—	\$ 497,403	0	\$ 7,789,512	3	65.7 %
Advisor Model	—	139,356	0	3,144,585	7	26.5
Separate Account	—	21,529	0	594,020	3	5.0
Performance Reporting	—	7,115	0	217,527	1	1.8
Multi-Manager Account	—	-236	0	104,379	1	0.9
Total	—	665,167	0	11,850,024	15	100.0

Reporting Assets	YTD Growth	Accts	Current	Accts	Total %
Brokerage	\$ 665,167	0	\$ 11,850,024	15	100.0 %

Top 10 Clients	Current Assets	Accts
The Amos Family	\$ 6,195,908	4
Client, John & Mary Trust	2,179,110	5
The Amos Family	2,040,381	1
Lou Brown Family	1,183,002	3
Andy Access Family	147,243	1
The Allen Family	104,379	1

Top 10 Funds	Units	Market Value	Account
iShares S&P 500 Growth (IVW)	1,859	\$ 304,709	1
DoubleLine Total Return Bond I (DBLTX)	27,022	285,360	5
PowerShares Dynamic Large Cap Value ETF (...)	6,542	261,222	1
PIMCO Income D (PONDX)	16,768	207,255	2
PowerShares Dynamic Large Cap Growth ETI (...)	4,308	193,085	1
Vanguard Information Technology ETF (VGT)	800	142,760	1
PowerShares QQQ ETF (QQQ)	746	126,519	3
iShares Russell 1000 Growth (IWF)	770	111,357	3
Cohen & Steers Preferred Sec & Inc I (CPXIX)	7,665	108,623	4
iShares iBoxx \$ High Yield Corporate Bd (HYG)	1,225	107,695	4

Top 10 Stocks	Units	Market Value	Account
Corporate Capital Trust Inc (CCT)	11,435	\$ 184,675	1
BP PLC ADR (BP)	3,668	158,631	1
FedEx Corp (FDX)	420	114,248	4

2.6 ACCOUNTS

This report lists out each account in an advisor’s book of business while providing a breakdown of market value, cash value, protected cash, and supervised/unsupervised assets. This report can be customized by using the **Funnel** icon to filter up specific information and/or using the **Groupings** icon to group data.

Account	Account Name	Client	Product	Invested	Market Value	Cash
Totals					\$ 11,850,023.92	\$ 597,091.20
DEMO-1497799	John & Mary Trust Client IRA -	Client, John & Mary Trust	Model #86955: Moderate	Sep 30, 2009	232,884.65	4,664.84
DEMO-1497800	John & Mary Trust Client IRA -	Client, John & Mary Trust	Model #87273: Growth	Oct 2, 2009	111,803.87	2,019.35
DEMO-1497801	John & Mary Trust Client Trust	Client, John & Mary Trust	Model #86960: Moderate	Feb 18, 2009	1,325,547.76	112,826.14
DEMO-1497803	John & Mary Trust Client IRA -	Client, John & Mary Trust	Reporting Only Services	Sep 30, 2009	217,527.47	3,298.24
DEMO-1497804	John & Mary Trust Client IRA -	Client, John & Mary Trust	Model #87262: Growth	Oct 2, 2009	291,346.46	4,294.24
DEMO-1497809	Lou Brown Family IRA - Tradit	Brown, Lou	Model #50506: Growth	May 4, 2005	609,831.74	27,006.10
DEMO-1497811	Lou Brown Family Joint	Brown, Lou	Model #50505: Aggressive Growth	Apr 29, 2005	206,191.46	3,121.74
DEMO-1497812	Lou Brown Family IRA - Tradit	Brown, Lou	Model #50508: Growth	May 3, 2005	366,978.67	12,235.30
DEMO-1497814	The Amos Family Trust	Amos, Sue	Model #156601: Moderate Growth	May 30, 2014	2,040,381.29	76,036.93
DEMO-1497815	The Amos Family Trust	Amos, Anton	Model #184480: Growth	Jan 23, 2015	4,306,087.03	275,129.81
DEMO-1497816	The Amos Family Trust	Amos, Anton	Model #252374: Growth	Jan 21, 2016	1,443,044.09	26,922.38
DEMO-1497817	The Amos Family Individual	Amos, Anton	Raub Brock Dividend Growth Manag	Jan 21, 2015	195,968.65	20,097.03
DEMO-1497818	The Amos Family Individual	Amos, Anton	Raub Brock Dividend Growth Manag	Jan 23, 2015	250,808.28	23,230.28
DEMO-1497819	The Allen Family Trust	Allen, Andy	BlackRock High Income Target Incom	Nov 13, 2015	104,379.46	2,138.42
DEMO-1497820	Andy Access Family Register	Access, Andy	Franklin Bissett Investment Manag	Mar 25, 2010	147,243.04	4,070.40

2.7 ACCOUNT ACTIVITY

The **Account Activity** will display a full account list, while providing the ability to filter up specific information, group data, adjust columns, and view saved layouts such as **Periodic Flows** and **Balances**.

Account	Client	Market Value	Sales	Redemptions	Contributions	Withdrawals
Totals		\$ 20,617,793.51	\$ 0	\$ 0	\$ 361	\$ 20,405
DEMO-1204458	Addison, Adam	669,093.29	0	0	0	0
DEMO-1204459	The Addison Family IRA - Traditional	1,541,098.46	0	0	0	0
DEMO-1204460	The Addison Family IRA - SEP	445,570.37	0	0	0	0
DEMO-1204462	The Apple Family IRA - Rollover	65,464.93	0	0	0	0
DEMO-1204463	The Apple Family Individual	523,529.41	0	0	0	0
DEMO-1204465	The Amos Family Trust	3,421,022.58	0	0	0	0
DEMO-1204466	The Amos Family Trust	752,427.92	0	0	0	0
DEMO-1204467	The Amos Family Individual	131,413.70	0	0	0	0
DEMO-1204468	The Amos Family Individual	168,468.23	0	0	0	0
DEMO-1204469	The Avery Family Joint	62,509.26	0	0	361	2,039
DEMO-1204470	The Avery Family IRA - Roth	116,150.47	0	0	0	0
DEMO-1204471	The Avery Family IRA - Roth	127,008.14	0	0	0	0
DEMO-1204472	The Avery Family IRA - Rollover	53,356.91	0	0	0	0
DEMO-1204473	The Avery Family IRA - Rollover	19,323.67	0	0	0	0
DEMO-1204474	The Anderson Family Individual	402,201.24	0	0	0	0
DEMO-1204475	The Anderson Family Individual	141,047.78	0	0	0	500
DEMO-1204476	The Anderson Family IRA - Traditional	157,731.10	0	0	0	0
DEMO-1204478	The Archer Family Joint	3,272,884.76	0	0	0	7,857
DEMO-1204479	The Archer Family Joint	1,935,734.59	0	0	0	10,009
DEMO-1204483	The Allen Family Trust	100,815.62	0	0	0	0

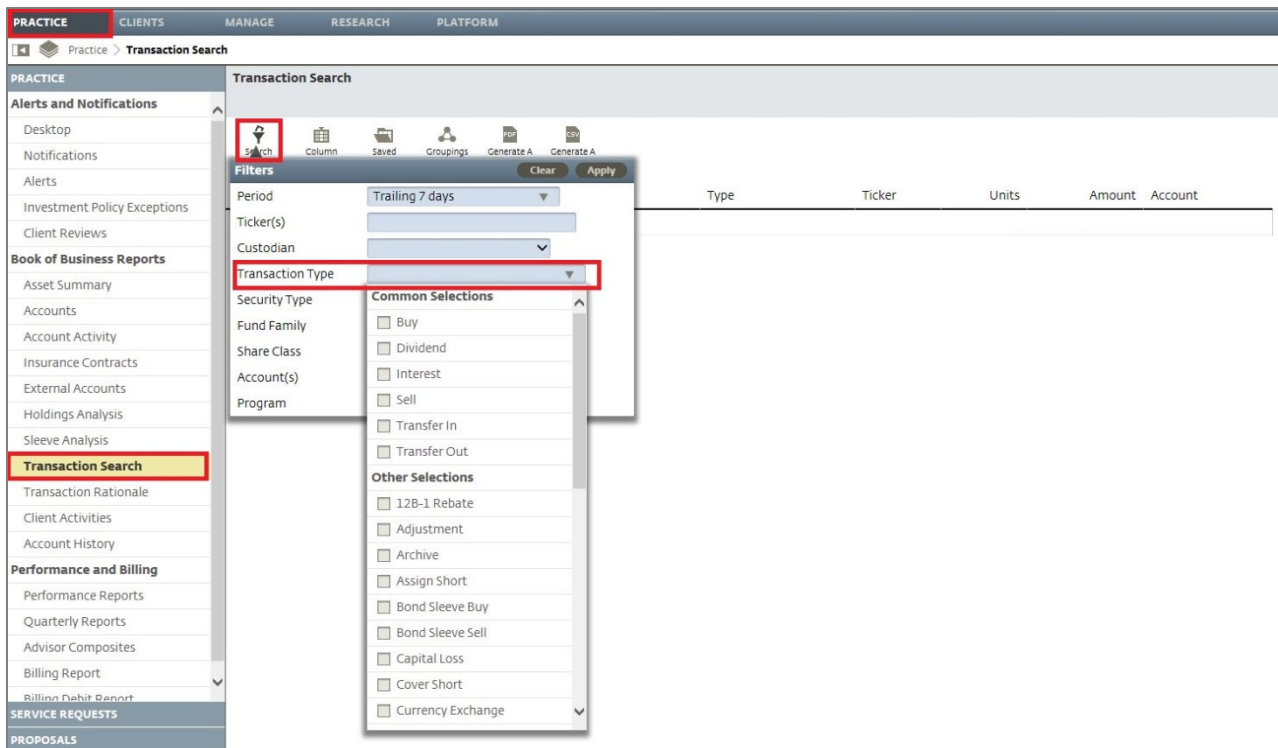
2.8 HOLDINGS ANALYSIS

This report displays all securities held by each client along with their market value and performance summary for a given period. Use the **Funnel** icon to filter up specific securities, or the **Groupings** icon to group by client, account, or even security type.

PRACTICE									
PRACTICE		CLIENTS		MANAGE		RESEARCH		PLATFORM	
Practice > Holdings									
Alerts and Notifications Desktop Notifications Alerts Investment Policy Exceptions Client Reviews Book of Business Reports Asset Summary Accounts Account Activity Insurance Contracts External Accounts Holdings Analysis Sleeve Analysis Transaction Search Transaction Rationale Client Activities Account History Performance and Billing Performance Reports Quarterly Reports Advisor Composites Billing Report Billing Debit Report SERVICE REQUESTS PROPOSALS		Holdings Search Filters Column Manager Saved Layout Groupings Generate A PDF File Generate A CSV File							
Description ▲		Count	Market Value	QTD	YTD	1 Yr	3 Yr		
▶ 3M Co		1	\$ 20,836.44	—	—	—	—		
▶ AB High Income Advisor		1	32,004.33	—	—	—	—		
▶ Abbott Laboratories		1	21,553.38	—	—	—	—		
▶ ABM Industries Inc		1	1,780.65	—	—	—	—		
▶ Acadia Healthcare Co Inc		1	2,025.00	—	—	—	—		
▶ Accenture PLC A		5	145,320.73	—	—	—	—		
▶ Activision Blizzard Inc		1	1,266.54	—	—	—	—		
▶ adidas AG		1	1,457.03	—	—	—	—		
▶ Adobe Systems Inc		1	2,165.20	—	—	—	—		
▶ Advance Auto Parts Inc		1	22,259.52	—	—	—	—		
▶ AdvanSix Inc		1	21.76	—	—	—	—		
▶ Aetna Inc		2	8,341.56	—	—	—	—		
▶ Aflac Inc		1	2,916.06	—	—	—	—		
▶ AIA Group Ltd		1	26,048.38	—	—	—	—		
▶ Air Liquide SA		1	14,205.53	—	—	—	—		
▶ Air Products & Chemicals Inc		1	6,681.96	—	—	—	—		
▶ Aircastle Ltd		1	10,594.36	—	—	—	—		
▶ Akamai Technologies Inc		1	3,232.88	—	—	—	—		
▶ Alaska Air Group Inc		2	7,544.00	—	—	—	—		
▶ Albany NY Mun Wtr Fin Auth Se		1	84,441.75	—	—	—	—		
▶ Albemarle Corp		1	6,021.96	—	—	—	—		

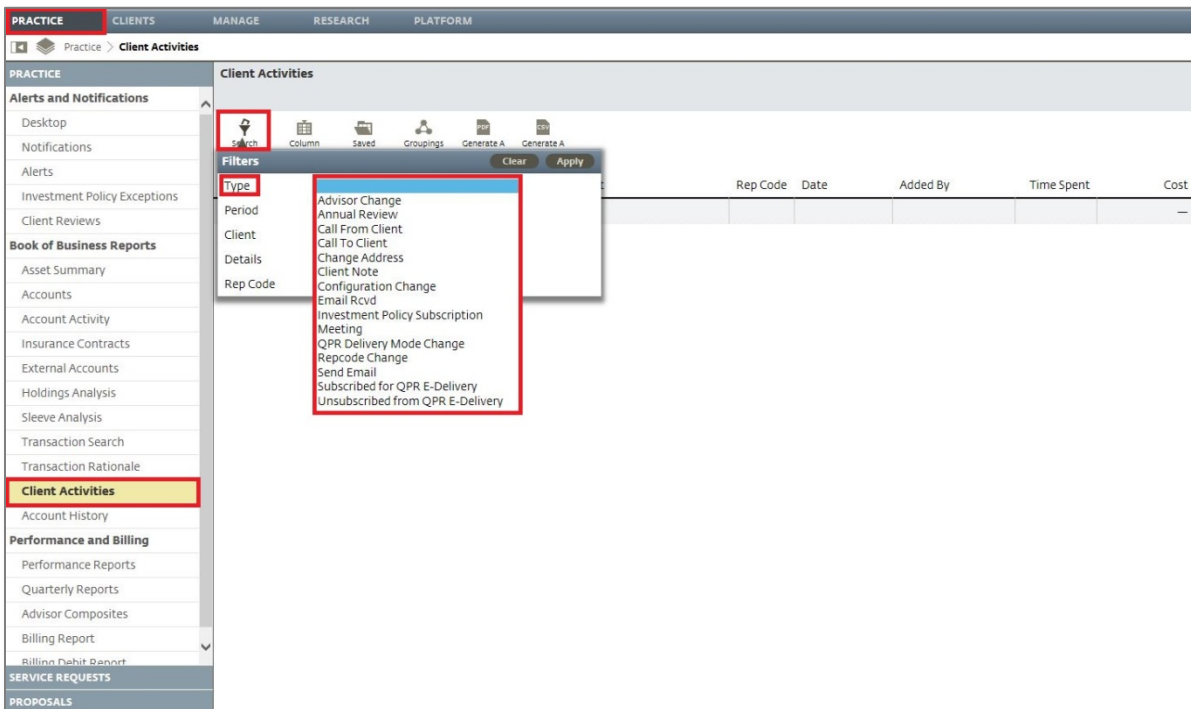
2.9 TRANSACTION SEARCH

The **Transaction Search** lists out all transactions that have occurred in a client account during a specified period. Use this report to filter by transaction type, such as buys or sells.



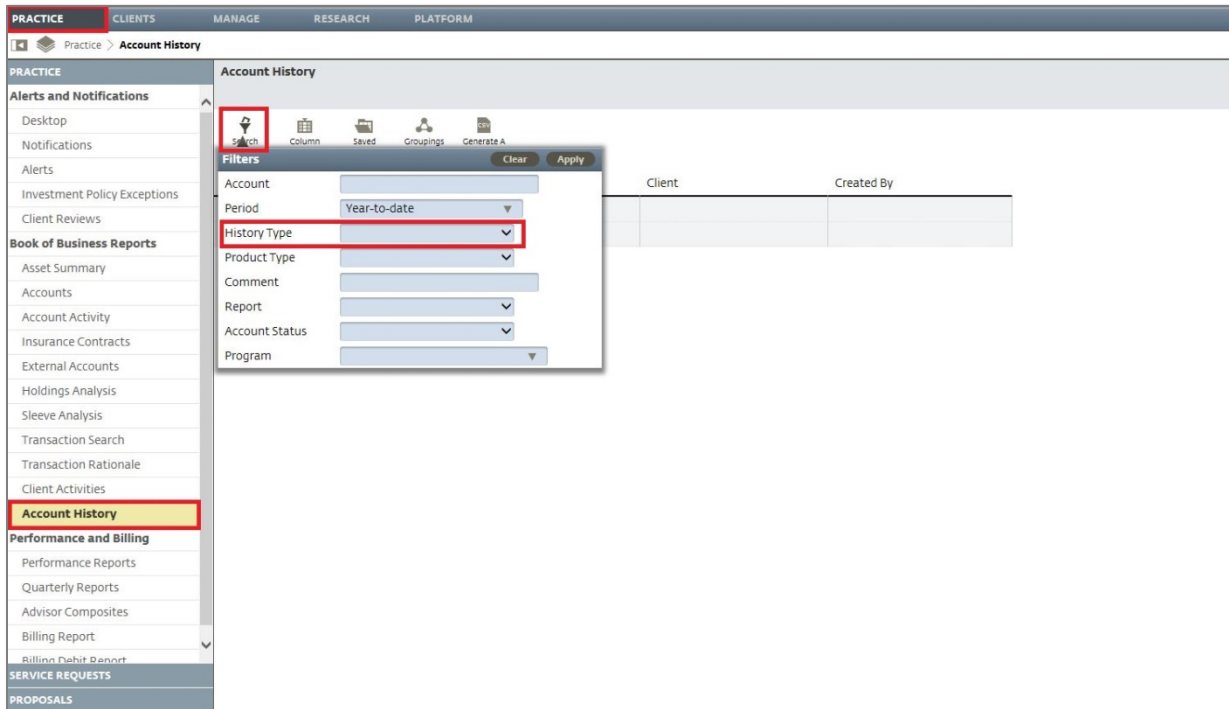
2.10 CLIENT ACTIVITIES

This report can be used to view various activities an advisor has logged through the client detail page. Use the **Funnel** icon to filter up client activities such as change of address or advisor change.



2.11 ACCOUNT HISTORY

The **Account History** will provide a full report on the activity of advisors accounts on the platform. Use the **Funnel** icon to filter for accounts closed, accounts rebalanced, recently promoted to IGO, etc.



3 CUSTOMIZATION REPORTS

3.1 NOTIFICATION REPORTS

The notification feature allows an advisor to set up report or email notifications in order to serve the client in a more efficient way. Notifications can be scheduled for an automated delivery for a specified date or a time period.

3.1.1 CREATING

Various notifications can be setup from the **Notifications** link under the **Practice** tab. Use the **Manage Notifications** dropdown menu to setup a new notification.

The screenshot shows the 'Notifications' page. The left sidebar has 'Notifications' highlighted. The main area features a 'Manage Notifications' button and a 'Notification Set Up' dropdown menu. Below this is a table with columns 'Client', 'Created', and 'Expires On', which currently displays 'No rows found'.

Use the “+” icon to select the type of notification you are interested in creating.

The screenshot shows the 'Notifications Setup' page. The '+ Create' button is highlighted, and a dropdown menu is open showing various notification types: Investor Statement, Investor Group Statement, Snapshot Report, Advisor Business Overview Report, Account Alerts During the Period, Investment Policy Exceptions, Manager Content During the Period, Client Logins During the Period, General Reminders, Trade Rejection Email, and GTC Notificaton.

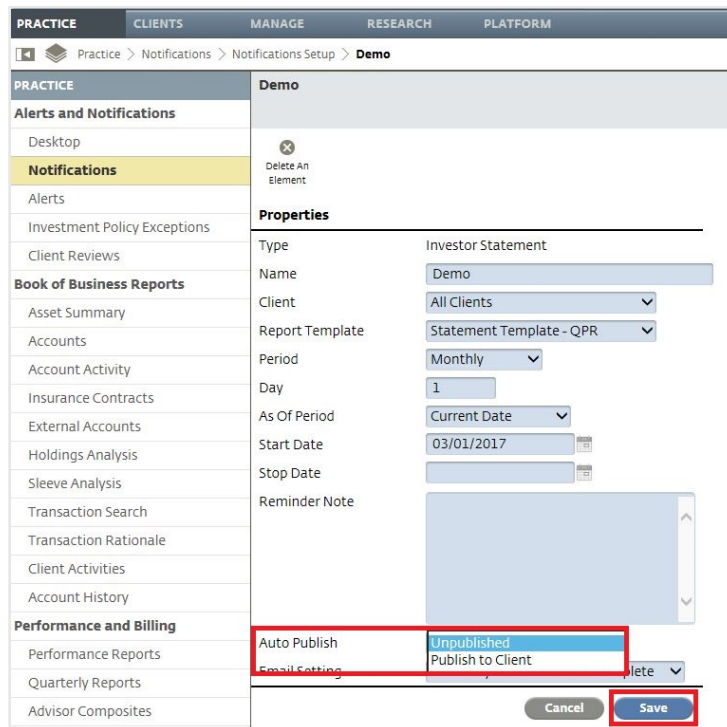
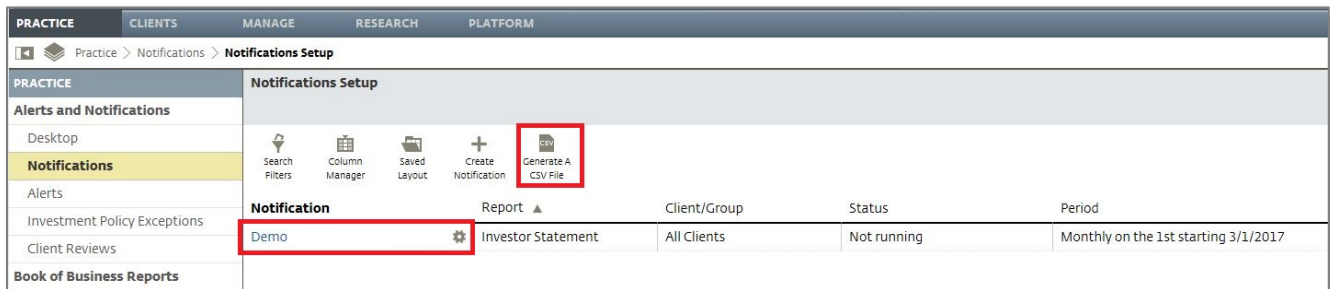
3.1.2 NOTIFICATION TYPES:

- **Investor Statement:** An advisor can set this notification to automate publishing of statements to the client’s site.
- **Investor Group Statement:** Performance statement for a group of accounts that can be published automatically to the client’s site.
- **Snapshot Report:** A report that can be created for a client or group of clients either monthly or quarterly, showing an account overview, performance summary, and list of holdings.
- **Advisor Business Overview Report:** Captures the dashboard activity of advisors’ clients with updates on total assets, account growth, top clients, and top holdings. This can also be emailed to the advisor for the set frequency and time period.

- **Account Alerts During the Period:** Will schedule an email notification to the advisor including all the open alerts for the advisor during a set time period.
- **Manager Content during the Period:** Advisors can receive notification by mail whenever new content is available for a group of managers.
- **Client Logins during the Period:** This notification will update an advisor via email regarding the frequency of client logins during a set period.
- **General Reminders:** This type of notification will schedule an email notifying the advisor of an event or activity for a specific time.
- **Trade Rejection Email:** Email notification of any rejected trades within the advisor directed program.
- **GTC Exception Report:** An email notification will be sent if unfilled or partially-filled GTC orders are 10 calendar days from expiration.

3.1.3 MANAGING NOTIFICATION REPORTS

Under the **Practice** tab, an advisor can view a list of all **Notification Reports** that are currently setup, while having the option to view a CSV spreadsheet, merge reports, and/or delete a report. You can also click on the report title to publish the report to the client’s site.



3.2 REPORT TEMPLATES

Report Templates provide the ability to customize the reports that are available online for an advisor, in the quarterly performance report, and online for the clients view.

The **Owner** column represents the user that created the template. Advisors can only edit templates that they created and not the default templates created by the Enterprise, Branch, or Firm.

The **Usage** column refers to how the template can be used. **Statement** templates are for Quarterly Performance Reports as well as printed statements. **Online** refers to templates that can be used to customize advisor and client online report views.

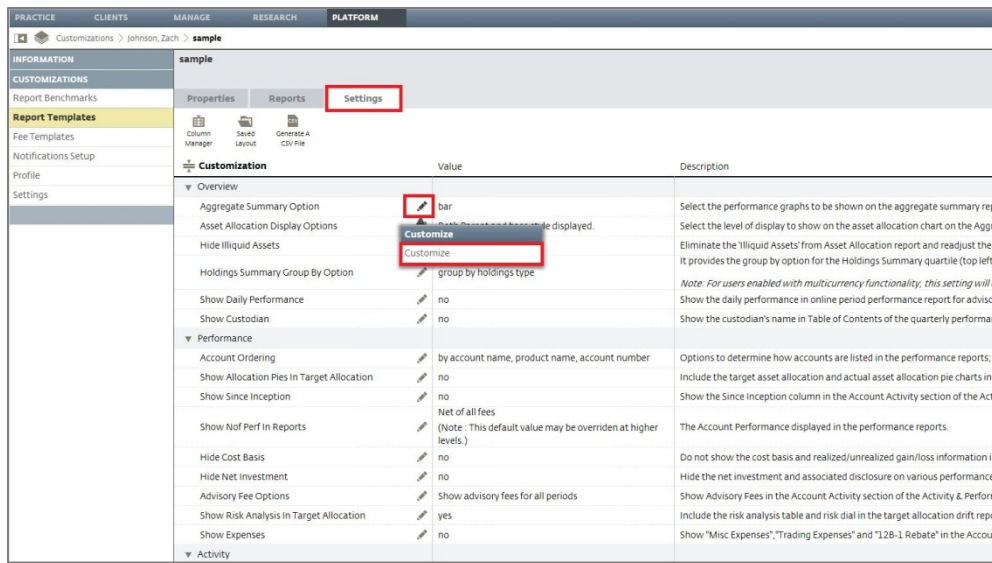
3.2.1 CREATING NEW TEMPLATES

To create a new template, click on the “+” icon and choose to create a **Statement** or **Online** Template.

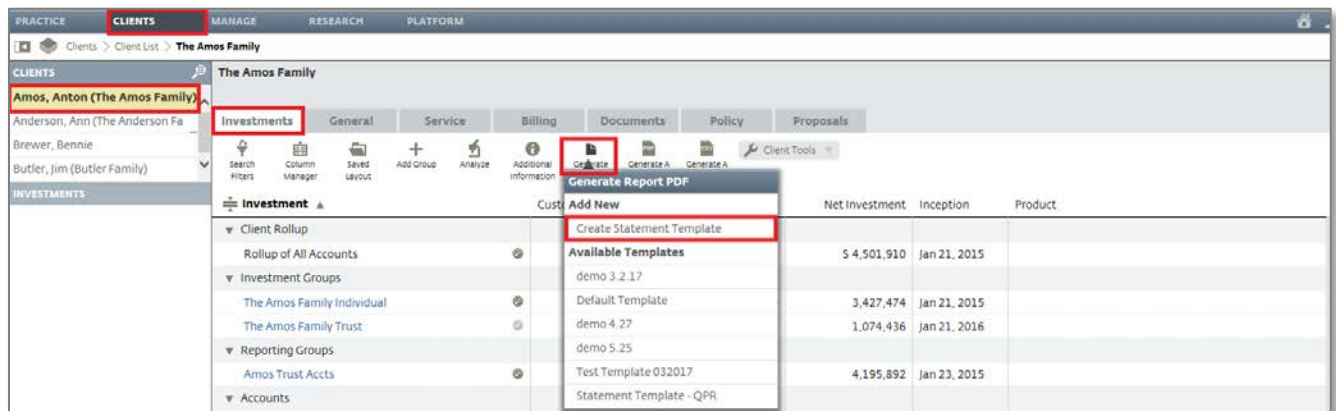
A new window will open up, name the template, provide a description, and click **Save**.

Under the **Reports** tab, select the desired performance reports available within each level of reporting (client, account, and group) and click **Save**.

Under the **Settings** tab, customize what information to be included or excluded by using the **Pencil** icon.



*****Pro Tip:** You can quickly create a statement template using the **Generate Report PDF** icon under a clients **Investment Tab**. By selecting **Create Statement Template** you will then be taken into the steps outlined above:



3.2.2 COPYING A TEMPLATE

An existing template can be copied and edited to make the process of creating a new template quicker.

The screenshot shows the 'Report Templates' section of the 'Johnson, Zach' customization page. A table lists several templates. A red box highlights the 'Copy' icon next to the 'sample' template. A dropdown menu is open, showing the option 'Make a Copy'.

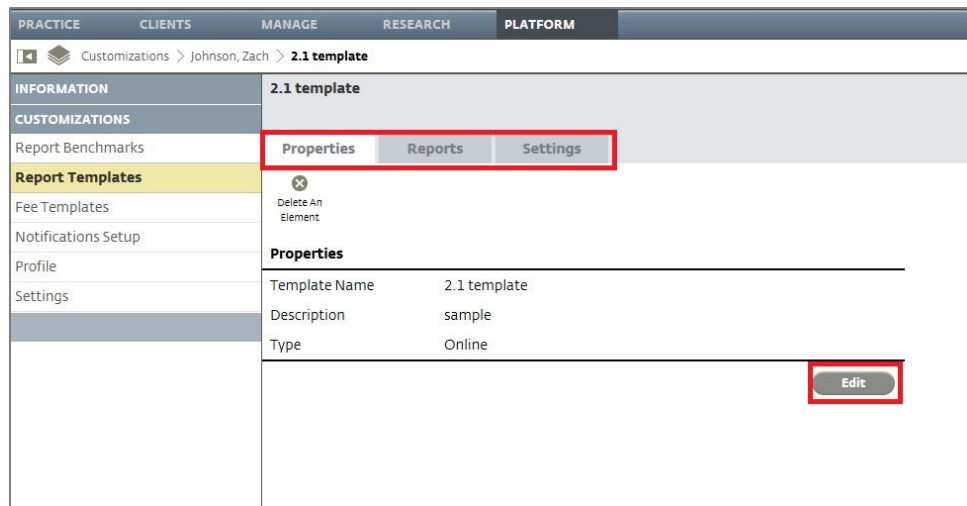
Template Name	Description	Owner	Usage
sample	sample	Advisor: Johnson, Zach	Online
petre	dfjhjgelwgh	Firm: ENV Demo - Training & Launch	Statements
Advisor Online Template		Enterprise: ENV Demo	Online
Client Online Template		Enterprise: ENV Demo	Online
Statement Template - QPR		Enterprise: ENV Demo	Statements

After updating the template name and description, click **Copy**. To edit the template, click on the template name that will be displayed in the available list.

The screenshot shows the 'Report Templates' section after a new template has been copied. The table now includes a new entry '2.1 template' which is highlighted with a red box.

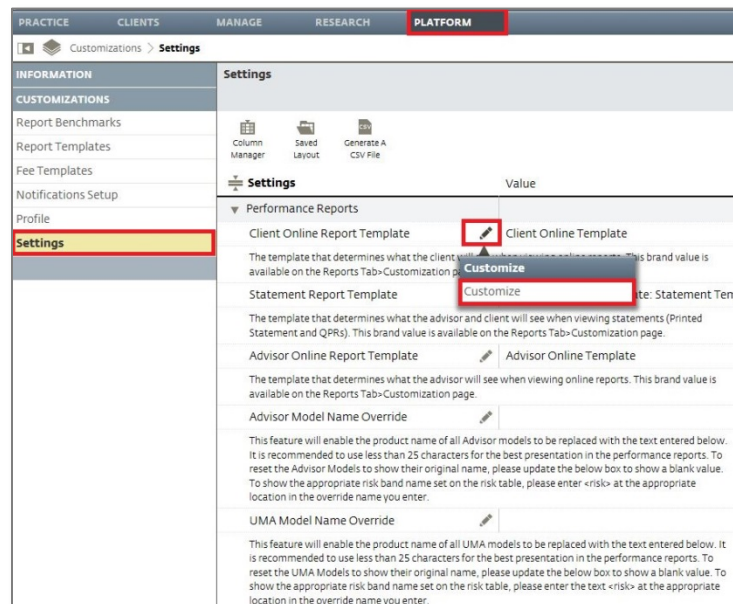
Template Name	Description	Owner	Usage
sample	sample	Advisor: Johnson, Zach	Online
demo	demo	Advisor: Johnson, Zach	Online
2.1 template	sample	Advisor: Johnson, Zach	Online
petre	dfjhjgelwgh	Firm: ENV Demo - Training & Launch	Statements
Advisor Online Template	advisor	Enterprise: ENV Demo	Online
Client Online Template	Client	Enterprise: ENV Demo	Online
Statement Template - QPR		Enterprise: ENV Demo	Statements

Select the **Properties**, **Reports**, and/or **Settings** tabs to customize the copied template.



3.2.3 ASSIGNING TEMPLATES TO ALL CLIENTS

A customized online or statement template can be used as a default template across all clients. Choose the default template in the **Settings** section by clicking on the **Pencil** icon and choosing the appropriate template name in the popup window.



Customize ✕

Advisor : Koleno, Dan

Client Online Report Template

Description The template that determines what the client will see when viewing online reports. This brand value is available on the Reports Tab>Customization page.

- 6.16 Template
- All Clients
- online sample
- Online 1.30
- demo online
- Advanced Online Template
- Default Enterprise Online Template
- Sample Online Template
- West Branch Only
- demo

Save

3.2.4 ASSIGNING TEMPLATES TO A SPECIFIC CLIENT

A customized template can also be assigned to one specific client by going to the **Report Settings** link in the **General** tab of the global toolbar.

The screenshot shows a software interface with a top navigation bar containing 'PRACTICE', 'CLIENTS', 'MANAGE', 'RESEARCH', and 'PLATFORM'. Below this, a breadcrumb trail reads 'Clients > The Amos Family'. On the left, a list of clients is shown, with 'Amos, Anton (The Amos Family)' highlighted in red. The main area displays the client's profile for 'The Amos Family' with tabs for 'Investments', 'General', 'Service', 'Billing', 'Documents', 'Policy', and 'Proposals'. Under the 'General' tab, there are sub-tabs for 'Properties', 'Activity', 'Settings', and 'Fiduciary Notes'. The 'Settings' sub-tab is selected and highlighted in red. Below the sub-tabs, there are icons for 'Column Manager', 'Saved Layout', 'Analyze', 'Generate A CSV File', and 'Reports'. A 'Settings' section is visible with a table header 'Settings' and 'Value', and a row for 'Performance Reports'.

From there, click the **Pencil** icon next to **Client Online Report Template** if you want to change the template for the client's online reports, or click the **Pencil** icon next to **Statement Report Template** if you want to change the QPR or printed statements template.

The screenshot shows the 'The Amos Family' settings page. The 'General' tab is active, and the 'Settings' section is expanded. Under 'Performance Reports', the 'Client Online Report Template' is selected, and a 'Customize' button is visible next to it. Other settings include 'Statement Report Template', 'Advisor Online Report Template', 'Advisor Model Name Override', and 'UMA Model Name Override'.

The 'Report Customization' dialog box is shown for 'Client : Lou Brown Family'. The 'Client Online Report Template' section is active. The description states: 'The template that determines what the client will see when viewing online reports. This brand value is available on the Reports Tab>Customization page.' The list of radio button options includes: 6.16 Template, All Clients, online sample (selected), Online 1.30, demo online, Advanced Online Template, Default Enterprise Online Template, Sample Online Template, West Branch Only, and demo. A 'Save' button is located at the bottom right.

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